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*Zero-cycles on varieties over  $p$ -adic fields  
and Brauer groups*

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# ZERO-CYCLES ON VARIETIES OVER $p$ -ADIC FIELDS AND BRAUER GROUPS

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**ABSTRACT.** – In this paper, we study the Brauer-Manin pairing of smooth proper varieties over a  $p$ -adic field, and determine the  $p$ -adic part of the image of the induced cycle map. We also compute  $A_0$  of a potentially rational surface which splits over a wildly ramified extension.

**RÉSUMÉ.** – Dans cet article, nous étudions l'accouplement de Brauer-Manin des variétés propres et lisses sur un corps  $p$ -adique, et déterminons la partie  $p$ -adique de l'image de l'application cycle induite. Nous calculons aussi le  $A_0$  d'une surface potentiellement rationnelle déployée sur une extension sauvagement ramifiée.

## 1. Introduction

Let  $k$  be a  $p$ -adic local field, and let  $X$  be a proper smooth geometrically integral variety over  $k$ . Let  $\mathrm{CH}_0(X)$  be the Chow group of 0-cycles on  $X$  modulo rational equivalence. An important tool to study  $\mathrm{CH}_0(X)$  is the natural pairing due to Manin [27]

$$(M) \quad \mathrm{CH}_0(X) \times \mathrm{Br}(X) \longrightarrow \mathbb{Q}/\mathbb{Z},$$

where  $\mathrm{Br}(X)$  denotes the Grothendieck-Brauer group  $H_{\text{ét}}^2(X, \mathbb{G}_m)$ . When  $\dim(X) = 1$ , using the Tate duality theorem for abelian varieties over  $p$ -adic local fields, Lichtenbaum [25] proved that (M) is non-degenerate and induces an isomorphism

$$(L) \quad A_0(X) \xrightarrow{\sim} \mathrm{Hom}(\mathrm{Br}(X)/\mathrm{Br}(k), \mathbb{Q}/\mathbb{Z}).$$

Here  $\mathrm{Br}(X)/\mathrm{Br}(k)$  denotes the cokernel of the natural map  $\mathrm{Br}(k) \rightarrow \mathrm{Br}(X)$ , and  $A_0(X)$  denotes the subgroup of  $\mathrm{CH}_0(X)$  generated by 0-cycles of degree 0. An interesting question is as to whether the pairing (M) is non-degenerate when  $\dim(X) \geq 2$ . See [31] for surfaces with non-zero left kernel. See [45] for varieties with trivial left kernel. In this paper, we are concerned with the right kernel of (M) in the higher-dimensional case.

**1.1.** – We assume that  $X$  has a regular model  $\mathcal{X}$  which is proper flat of finite type over the integer ring  $\mathfrak{o}_k$  of  $k$ . It is easy to see that the pairing (M) induces homomorphisms

$$(1.1.1) \quad \mathrm{CH}_0(X) \longrightarrow \mathrm{Hom}(\mathrm{Br}(X)/\mathrm{Br}(\mathcal{X}), \mathbb{Q}/\mathbb{Z}),$$

$$(1.1.2) \quad \mathrm{A}_0(X) \longrightarrow \mathrm{Hom}(\mathrm{Br}(X)/\mathrm{Br}(k) + \mathrm{Br}(\mathcal{X}), \mathbb{Q}/\mathbb{Z}),$$

where  $\mathrm{Br}(X)/\mathrm{Br}(k) + \mathrm{Br}(\mathcal{X})$  denotes the quotient of  $\mathrm{Br}(X)$  by the image of  $\mathrm{Br}(k) \oplus \mathrm{Br}(\mathcal{X})$ . If  $\dim(X) = 1$ , then  $\mathrm{Br}(\mathcal{X})$  is zero, and the map (1.1.2) is the same as (L) (cf. [9] 1.7 (c)). Our main result is the following:

**THEOREM 1.1.3.** – *Assume that the purity of Brauer groups holds for  $\mathcal{X}$  (see Definition 2.1.1 below). Then:*

- (1) *The right kernel of the pairing (M) is exactly  $\mathrm{Br}(\mathcal{X})$ , that is, the map (1.1.1) has dense image with respect to the natural pro-finite topology on the right hand side.*
- (2) *The map (1.1.2) is surjective.*

Restricted to the prime-to- $p$  part, the assertion (1) is due to Colliot-Thélène and Saito [10]. The assertion (2) gives an affirmative answer to [6] Conjecture 1.4 (c), assuming the purity of Brauer groups, which holds if  $\dim(\mathcal{X}) \leq 3$  or if  $\mathcal{X}$  has good or semistable reduction (cf. Remark 2.1.2 below). Roughly speaking, Theorem 1.1.3 (1) asserts that if an element  $\omega \in \mathrm{Br}(X)$  ramifies along the closed fiber of  $\mathcal{X}$ , then there exists a closed point  $x \in X$  for which the specialization of  $\omega$  is non-zero in  $\mathrm{Br}(x)$ . We will in fact prove the following stronger result on the ramification of Brauer groups:

**THEOREM 1.1.4 (Corollary 3.2.3).** – *Let  $\mathcal{U}$  be either  $\mathcal{X}$  itself or its Henselization at a closed point. Put  $U := \mathcal{U}[p^{-1}]$  and assume that the purity of Brauer groups holds for  $\mathcal{U}$ . If  $\mathcal{X}$  is Henselian local, then assume further that all irreducible components of the divisor on  $\mathcal{U}$  defined by the radical of  $(p)$  are regular. Then the kernel of the map*

$$\psi_x : \mathrm{Br}(U) \longrightarrow \prod_{v \in U_0} \mathbb{Q}/\mathbb{Z}, \quad \omega \mapsto (\mathrm{inv}_v(\omega|_v))_{v \in U_0}$$

*agrees with  $\mathrm{Br}(\mathcal{U})$ , where  $U_0$  denotes the set of closed points on  $U$ .*

The prime-to- $p$  part of Theorem 1.1.4 has been proved in [10]. We will prove the  $p$ -primary part of this result using Kerz's idèle class group [24]. Our method of the proof gives also an alternative proof of the prime-to- $p$  part in [10].

**1.2.** – As an application of Theorem 1.1.3 (2), we give an explicit calculation of  $\mathrm{A}_0(X)$  for a potentially rational surface  $X/k$ , a proper smooth geometrically connected surface  $X$  over  $k$  such that  $X \otimes_k k'$  is rational for some finite extension  $k'/k$ . For such a surface  $X$ , the map (1.1.2) has been known to be injective (see Proposition 4.1.2 below), and hence bijective by Theorem 1.1.3 (2). On the other hand, for such a surface  $X$ , we have

$$\mathrm{Br}(X)/\mathrm{Br}(k) \simeq H_{\mathrm{Gal}}^1(G_k, \mathrm{NS}(\overline{X})),$$

where  $\mathrm{NS}(\overline{X})$  denotes the Néron-Severi group of  $\overline{X} := X \otimes_k \overline{k}$ , and  $G_k$  denotes the absolute Galois group of  $k$ . Thus knowing the  $G_k$ -module structure of  $\mathrm{NS}(\overline{X})$ , we can

compute  $A_0(X)$  by determining which element of  $\text{Br}(X)$  are unramified along the closed fiber of  $\mathcal{X}$ . For example, consider a cubic surface for  $a \in k^\times$

$$X : T_0^3 + T_1^3 + T_2^3 + aT_3^3 = 0 \quad \text{in} \quad \mathbb{P}_k^3 = \text{Proj}(k[T_0, T_1, T_2, T_3]).$$

If  $a$  is a cube in  $k$ , then  $X$  is isomorphic to the blow-up of  $\mathbb{P}_k^2$  at six  $k$ -valued points in the general position (Shafarevich) and we have  $A_0(X) = 0$ . We will prove the following result, which is an extension of results in [10] Example 2.8.

**THEOREM 1.2.1** (Theorem 4.1.1). – *Assume that  $\text{ord}_k(a) \equiv 1 \pmod{3}$  and that  $k$  contains a primitive cubic root of unity. Then we have*

$$A_0(X) \simeq (\mathbb{Z}/3)^2.$$

In his paper [11], Dalawat provided a method to compute  $A_0(X)$  for a potentially rational surface  $X$ , which works under the assumption that the action of  $G_k$  on  $\text{NS}(\overline{X})$  is unramified. Theorem 1.1.3 provides a new method to compute  $A_0(X)$ , which does not require Dalawat’s assumption. Note that  $p$  may be 3 in Theorem 1.2.1, so that the action of  $G_k$  on  $\text{NS}(\overline{X})$  may ramify even wildly.

**1.3.** – Let  $\mathfrak{o}_k$  be as before, and let  $\mathcal{X}$  be a regular scheme which is proper flat of finite type over  $\mathfrak{o}_k$ . Assume that  $\mathcal{X}$  has *good or semistable reduction* over  $\mathfrak{o}_k$ . Let  $d$  be the absolute dimension of  $\mathcal{X}$ , and let  $r$  be a positive integer. In [35], we proved that the cycle class map

$$\varrho_m^{d-1} : \text{CH}^{d-1}(\mathcal{X})/m \longrightarrow H_{\text{ét}}^{2d-2}(\mathcal{X}, \mu_m^{\otimes d-1})$$

is bijective for any positive integer  $m$  prime to  $p$ . Here  $\mu_m$  denotes the étale sheaf of  $m$ -th roots of unity. As a new tool to study  $\text{CH}^{d-1}(\mathcal{X})$ , we introduce the  $p$ -adic cycle class map defined in [37] Corollary 6.1.4:

$$\varrho_{p^r}^{d-1} : \text{CH}^{d-1}(\mathcal{X})/p^r \longrightarrow H_{\text{ét}}^{2d-2}(\mathcal{X}, \mathfrak{T}_r(d-1)).$$

Here  $\mathfrak{T}_r(n) = \mathfrak{T}_r(n)\mathcal{X}$  denotes the étale Tate twist with  $\mathbb{Z}/p^r\mathbb{Z}$ -coefficients [37] (see also [38] § 7), which is an object of  $D^b(\mathcal{X}, \mathbb{Z}/p^r\mathbb{Z})$ , the derived category of bounded complexes of étale  $\mathbb{Z}/p^r\mathbb{Z}$ -sheaves on  $\mathcal{X}$ . This object  $\mathfrak{T}_r(n)$  plays the role of  $\mu_m^{\otimes n}$ , and we expect that  $\mathfrak{T}_r(n)$  agrees with  $\mathbb{Z}(n)^{\text{ét}} \otimes^{\mathbb{L}} \mathbb{Z}/p^r\mathbb{Z}$ , where  $\mathbb{Z}(n)^{\text{ét}}$  denotes the conjectural étale motivic complex of Beilinson-Lichtenbaum ([2], [26], [37] Conjecture 1.4.1 (1)). Concerning the map  $\varrho_{p^r}^{d-1}$ , we will prove the following result:

**THEOREM 1.3.1.** – *The cycle class map  $\varrho_{p^r}^{d-1}$  is surjective.*

We have nothing to say about the injectivity of  $\varrho_{p^r}^{d-1}$  in this paper (compare with [44]). A key to the proof of Theorem 1.3.1 is the non-degeneracy of a canonical pairing of finite  $\mathbb{Z}/p^r\mathbb{Z}$ -modules

$$H_{\text{ét}}^{2d-2}(\mathcal{X}, \mathfrak{T}_r(d-1)) \times H_{Y, \text{ét}}^3(\mathcal{X}, \mathfrak{T}_r(1)) \longrightarrow \mathbb{Z}/p^r\mathbb{Z}$$

proved in [37] Theorem 10.1.1. We explain an outline of the proof of Theorem 1.3.1. Let  $Y$ ,  $U$ ,  $A_x$  be as in Theorem 1.1.4. Let  $X_0$  and  $Y_0$  be the sets of all closed points on  $X$  and  $Y$ , respectively, and let  $\text{sp} : X_0 \rightarrow Y_0$  be the specialization map of points. By the duality mentioned above, there is an isomorphism of finite groups

$$H_{\text{ét}}^{2d-2}(\mathcal{X}, \mathfrak{T}_r(d-1)) \xrightarrow{\sim} H_{Y, \text{ét}}^3(\mathcal{X}, \mathfrak{T}_r(1))^*,$$

where we put  $M^* := \text{Hom}(M, \mathbb{Q}/\mathbb{Z})$  for abelian group  $M$ . We will construct an injective map

$$\theta_{p^r} : H_{Y,\text{ét}}^3(\mathcal{X}, \mathfrak{I}_r(1)) \hookrightarrow \prod_{x \in U_0} {}_{p^r}\text{Br}(A_x[p^{-1}])$$

whose dual fits into a commutative diagram

$$\begin{array}{ccccc} \text{CH}^{d-1}(\mathcal{X})/p^r & \xrightarrow{\varrho_{\ell^r}^{d-1}} & H_{\text{ét}}^{2d-2}(\mathcal{X}, \mathfrak{I}_r(d-1)) & \xrightarrow{\sim} & H_{Y,\text{ét}}^3(\mathcal{X}, \mathfrak{I}_r(1))^* \\ \uparrow & & & & \uparrow (\theta_{p^r})^* \\ \bigoplus_{x \in U_0} \bigoplus_{v \in \text{Spec}(A_x[p^{-1}]_0)} \mathbb{Z}/p^r\mathbb{Z} & \xrightarrow{(\psi_{p^r})^*} & \bigoplus_{x \in U_0} ({}_{p^r}\text{Br}(A_x[p^{-1}]))^* & & \end{array}$$

Here  $\psi_{p^r}$  denotes the direct product of the  $p^r$ -torsion part of the map  $\psi_x$  in Theorem 1.1.4 for all  $x \in U_0$ , which is injective by Theorem 1.1.4 and its dual  $(\psi_{p^r})^*$  is surjective. Therefore Theorem 1.3.1 will follow from this commutative diagram and the surjectivity of  $(\theta_{p^r})^*$  and  $(\psi_{p^r})^*$  (see §6 for details).

**1.4.** – This paper is organized as follows. In §3, we will prove Theorem 1.1.4 in a stronger form. In §4, we compute  $A_0$  of cubic surfaces to prove Theorem 1.2.1. In §5 and §6, we will prove Theorem 1.1.3 and Theorem 1.3.1, respectively.

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**Notation**

**1.5.** – For an abelian group  $M$  and a positive integer  $n$ ,  ${}_nM$  and  $M/n$  denote the kernel and the cokernel of the map  $M \xrightarrow{\times n} M$ , respectively. For a field  $k$ ,  $\bar{k}$  denotes a fixed separable closure, and  $G_k$  denotes the absolute Galois group  $\text{Gal}(\bar{k}/k)$ . For a discrete  $G_k$ -module  $M$ ,  $H^*(k, M)$  denotes the Galois cohomology groups  $H_{\text{Gal}}^*(G_k, M)$ , which are the same as the étale cohomology groups of  $\text{Spec}(k)$  with coefficients in the étale sheaf associated with  $M$ .

**1.6.** – Unless indicated otherwise, all cohomology groups of schemes are taken over the étale topology. For a commutative ring  $R$  with unity and a sheaf  $\mathcal{F}$  on  $\text{Spec}(R)_{\text{ét}}$ , we often write  $H^*(R, \mathcal{F})$  for  $H^*(\text{Spec}(R), \mathcal{F})$ .