

## ADDITION BEHAVIOR OF A NUMERICAL SEMIGROUP

by

Maria Bras-Amorós

---

**Abstract.** — In this work we study some objects describing the addition behavior of a numerical semigroup and we prove that they uniquely determine the numerical semigroup. We then study the case of Arf numerical semigroups and find some specific results.

**Résumé (Comportement de l'addition dans un semi-groupe numérique).** — Dans ce travail, nous étudions des objets qui décrivent le comportement de l'addition dans un semi-groupe numérique, tout en montrant qu'ils le déterminent complètement. Ensuite, nous étudions le cas des semi-groupes numériques de type Arf et en donnons quelques résultats spécifiques.

### Introduction

Let  $\mathbb{N}_0$  denote the set of all non-negative integers. A *numerical semigroup* is a subset  $\Lambda$  of  $\mathbb{N}_0$  containing 0, closed under summation and with finite complement in  $\mathbb{N}_0$ . For a numerical semigroup  $\Lambda$  define the *genus* of  $\Lambda$  as the number  $g = \#(\mathbb{N}_0 \setminus \Lambda)$  and the *conductor* of  $\Lambda$  as the unique integer  $c \in \Lambda$  such that  $c-1 \notin \Lambda$  and  $c+\mathbb{N}_0 \subseteq \Lambda$ . The elements in  $\Lambda$  are called the *non-gaps* of  $\Lambda$  while the elements in  $\Lambda^c = \mathbb{N}_0 \setminus \Lambda$  are called the *gaps* of  $\Lambda$ . The *enumeration* of  $\Lambda$  is the unique increasing bijective map  $\lambda : \mathbb{N}_0 \rightarrow \Lambda$ . We will use  $\lambda_i$  for  $\lambda(i)$ .

A first object describing the addition behavior in a numerical semigroup with enumeration  $\lambda$  is the binary operation  $\oplus$  defined by  $i \oplus j = \lambda^{-1}(\lambda_i + \lambda_j)$ . We will show that this operation determines completely the numerical semigroup.

Let  $F/\mathbb{F}$  be a function field and let  $P$  be a rational point of  $F/\mathbb{F}$ . For a divisor  $D$  of  $F/\mathbb{F}$ , let  $\mathcal{L}(D) = \{0\} \cup \{f \in F^* \mid (f) + D \geq 0\}$ . Define  $A = \bigcup_{m \geq 0} \mathcal{L}(mP)$  and let

---

**2000 Mathematics Subject Classification.** — 20M99, 94B27.

**Key words and phrases.** — Numerical semigroup, Arf semigroup.

This work was supported in part by the Spanish CICYT under Grant TIC2003-08604-C04-01, by Catalan DURSI under Grant 2001SGR 00219.

$\Lambda = \{-v_P(f) \mid f \in A \setminus \{0\}\} = \{-v_i \mid i \in \mathbb{N}_0\}$  with  $-v_i < -v_{i+1}$ . It is well known that the number of elements in  $\mathbb{N}_0$  which are not in  $\Lambda$  is equal to the genus of the function field. Furthermore,  $v_P(1) = 0$  and  $v_P(fg) = v_P(f) + v_P(g)$  for all  $f, g \in A$ . Hence,  $\Lambda$  is a numerical semigroup. It is called the *Weierstrass semigroup* at  $P$ . Suppose moreover that  $P_1, \dots, P_n$  are pairwise distinct rational points of  $F/\mathbb{F}_q$  which are different from  $P$  and let  $\varphi$  be the map  $A \rightarrow \mathbb{F}_q^n$  such that  $f \mapsto (f(P_1), \dots, f(P_n))$ . For  $m \geq 0$  the *one-point Goppa code* of order  $m$  associated to  $P$  and  $P_1, \dots, P_n$  is defined as  $C_m = \varphi(\mathcal{L}(\lambda_m P))^\perp$ .

A second object describing the addition behavior of a numerical semigroup  $\Lambda$  with enumeration  $\lambda$  are the sequence of sets  $(N_i)$  defined by  $N_i = \{j \in \mathbb{N}_0 \mid \lambda_i - \lambda_j \in \Lambda\}$  and the sequence  $(\nu_i)$  defined by  $\nu_i = \#N_i$ . A first application of the sequence  $(\nu_i)$  is on the *order bound* on the minimum distance of the code  $C_m$ , defined as  $d_{\text{ORD}}^\varphi(C_m) = \min\{\nu_i \mid i > m, C_i \neq C_{i-1}\}$  and satisfying  $d_{C_m} \geq d_{\text{ORD}}^\varphi(C_m)$ , where  $d_{C_m}$  is the minimum distance of the code  $C_m$  [7, 10, 9]. A second application is on the definition of improved codes. Let  $\mathcal{F} = \{f_i \in A \mid i \in \mathbb{N}_0\}$  be such that  $v_P(f_i) = \nu_i$ . Given a designed minimum distance  $\delta \in \mathbb{N}_0$ , define  $\tilde{C}_\varphi(\delta) = [\varphi(f_i) \mid \nu_i < \delta, C_i \neq C_{i-1}]^\perp$ , where  $[u_1, \dots, u_n]$  is the  $\mathbb{F}_q$ -vector space spanned by  $u_1, \dots, u_n$ . This is a code improving the dimension of one-point Goppa codes while keeping the same designed minimum distance [8].

Notice that in both applications of the sequence  $(\nu_i)$  its increasingness is very important. In [4] we prove that the unique numerical semigroup for which  $(\nu_i)$  is strictly increasing is  $\mathbb{N}_0$  while the only numerical semigroups for which it is non-decreasing are ordinary numerical semigroups. This gives a characterization of a class of semigroups by means of a property on the sequence  $(\nu_i)$ . In this work we show that a numerical semigroup can be uniquely determined by its associated sequence  $(\nu_i)$ . The proof, which was already given in [4] is constructive. So, we get an algorithm to obtain the semigroup from the sequence  $(\nu_i)$ . This algorithm is very technical. Here, for the case of Arf numerical semigroups we present three new algorithms which are much more simple.

In Section 1 we show that given a numerical semigroup the implicit binary operation  $\oplus$  uniquely determines it. In Section 2 we show that given a numerical semigroup the sequence  $\nu_i$  determines it uniquely and give a constructive algorithm. In Section 3 we give, for the case of Arf numerical semigroups, a much simpler construction of the semigroup from the associated sequence  $(\nu_i)$ .

## 1. The operation $\oplus$ determines a semigroup

**Definition 1.1.** — Given a numerical semigroup  $\Lambda$  with enumeration  $\lambda$ , define the binary operation  $\oplus$  in  $\mathbb{N}_0$  by

$$i \oplus j = \lambda^{-1}(\lambda_i + \lambda_j).$$

**Remark 1.2.** — Let  $\Lambda$  be a numerical semigroup with enumeration  $\lambda$ , genus  $g$  and conductor  $c$ . If  $g(t)$  is the number of gaps which are smaller than  $\lambda_t$ , then it is obvious that  $\lambda_t = g(t) + t$ . As a consequence,

$$\begin{aligned} \lambda_t &= g + t \text{ for all } t \geq \lambda^{-1}(c), \\ \lambda_t &< g + t \text{ for all } t < \lambda^{-1}(c). \end{aligned}$$

Notice that, in particular,  $\lambda^{-1}(c) = c - g$ .

**Lemma 1.3.** — Let  $\Lambda$  be a numerical semigroup with enumeration  $\lambda$  and conductor  $c$ . Then, for any  $a \in \mathbb{N}_0$ ,

$$\lambda_{a+b} \geq \lambda_a + b \text{ for all } b \in \mathbb{N}_0,$$

with equality if  $\lambda_a \geq c$ .

*Proof.* — We have  $\lambda_{a+b} = \lambda_a + b$  if  $b$  is such that there are no gaps between  $\lambda_a$  and  $\lambda_{a+b}$  while  $\lambda_{a+b} > \lambda_a + b$  if  $b$  is such that there is at least one gap between  $\lambda_a$  and  $\lambda_{a+b}$ . If  $\lambda_a \geq c$ , there will be no gaps larger than  $\lambda_a$  and so,  $\lambda_{a+b} = \lambda_a + b$  for all  $b$ , while if  $\lambda_a < c$ , the most we can say is  $\lambda_{a+b} \geq \lambda_a + b$ .  $\square$

**Lemma 1.4.** — Let  $\Lambda$  be a numerical semigroup with enumeration  $\lambda$  and conductor  $c$ . Then, for any  $a, b \in \mathbb{N}_0$ ,

$$a \oplus b \leq a + \lambda_b,$$

with equality if  $\lambda_a \geq c$ .

*Proof.* — We have  $\lambda_{a \oplus b} = \lambda_a + \lambda_b$  by definition of  $a \oplus b$  and  $\lambda_a + \lambda_b \leq \lambda_{a+\lambda_b}$  for all  $b$ , with equality if  $\lambda_a \geq c$ , by Lemma 1.3. Since  $\lambda$  is bijective and increasing, this means  $a \oplus b \leq a + \lambda_b$ , with equality if  $\lambda_a \geq c$ .  $\square$

**Proposition 1.5.** — A numerical semigroup  $\Lambda$  is uniquely determined by the binary operation  $\oplus$ .

*Proof.* — We will show that  $\Lambda$  is unique by proving that  $\lambda_i$  is uniquely determined by  $\oplus$  for all  $i \in \mathbb{N}_0$ . By Lemma 1.4,

$$\begin{aligned} i \oplus j &\leq j + \lambda_i \text{ for all } j, \\ i \oplus j &= j + \lambda_i \text{ for all } j \text{ with } \lambda_j \geq c. \end{aligned}$$

Therefore,  $\max_j \{i \oplus j - j\}$  exists for all  $i$ , is uniquely determined by  $\oplus$  and it is exactly  $\lambda_i$ .  $\square$

## 2. The sequence $(\nu_i)$ determines a semigroup

In this section we prove that any numerical semigroup is uniquely determined by the associated sequence  $(\nu_i)$ . We will use the following well-known result on the values  $\nu_i$ .

**Proposition 2.1.** — *Let  $\Lambda$  be a numerical semigroup with genus  $g$ , conductor  $c$  and enumeration  $\lambda$ . Let  $g(i)$  be the number of gaps smaller than  $\lambda_i$  and let*

$$D(i) = \{l \in \Lambda^c \mid \lambda_i - l \in \Lambda^c\}.$$

*Then for all  $i \in \mathbb{N}_0$ ,*

$$\nu_i = i - g(i) + \#D(i) + 1.$$

*In particular, for all  $i \geq 2c - g - 1$  (or equivalently, for all  $i$  such that  $\lambda_i \geq 2c - 1$ ),  $\nu_i = i - g + 1$ .*

*Proof.* — [10, Theorem 3.8.]. □

**Theorem 2.2.** — *Suppose that  $(\nu_i)$  corresponds to the numerical semigroup  $\Lambda$ . Then there is no other numerical semigroup with the same sequence  $(\nu_i)$ .*

*Proof.* — If  $\Lambda = \mathbb{N}_0$  then  $(\nu_i)$  is strictly increasing and there is no other semigroup with the same sequence  $(\nu_i)$  (see [4]).

Suppose that  $\Lambda$  is not trivial. Then we can determine the genus and the conductor from the sequence  $(\nu_i)$ . Indeed, let  $k = 2c - g - 2$ . In the following we will show how to determine  $k$  without the knowledge of  $c$  and  $g$ . Notice that  $c \geq 2$  and so  $2c - 2 \geq c$ . This implies  $k = \lambda^{-1}(2c - 2)$  and  $g(k) = g$ . By Proposition 2.1,  $\nu_k = k - g + \#D(k) + 1$ . But  $D(k) = \{c - 1\}$ . So,  $\nu_k = k - g + 2$ . By Proposition 2.1 again,  $\nu_i = i - g + 1$  for all  $i > k$  and so we have

$$k = \max\{i \mid \nu_i = \nu_{i+1}\}.$$

We can determine the genus as

$$g = k + 2 - \nu_k$$

and the conductor as

$$c = \frac{k + g + 2}{2}.$$

Now we know that  $\{0\} \in \Lambda$  and  $\{i \in \mathbb{N}_0 \mid i \geq c\} \subseteq \Lambda$  and, furthermore,  $\{1, c - 1\} \subseteq \Lambda^c$ . It remains to determine for all  $i \in \{2, \dots, c - 2\}$  whether  $i \in \Lambda$ . Let us assume  $i \in \{2, \dots, c - 2\}$ . On one hand,  $c - 1 + i - g > c - g$  and so  $\lambda_{c-1+i-g} > c$ . This means that  $g(c - 1 + i - g) = g$  and hence

$$(1) \quad \nu_{c-1+i-g} = c - 1 + i - g - g + \#D(c - 1 + i - g) + 1.$$

On the other hand, if we define  $\tilde{D}(i)$  to be

$$\tilde{D}(i) = \{l \in \Lambda^c \mid c - 1 + i - l \in \Lambda^c, i < l < c - 1\}$$

then

$$(2) \quad D(c - 1 + i - g) = \begin{cases} \tilde{D}(i) \cup \{c - 1, i\} & \text{if } i \in \Lambda^c, \\ \tilde{D}(i) & \text{otherwise.} \end{cases}$$

So, from (1) and (2),

$$i \text{ is a non-gap} \iff \nu_{c-1+i-g} = c + i - 2g + \#\tilde{D}(i).$$

This gives an inductive procedure to decide whether  $i$  belongs to  $\Lambda$  decreasingly from  $i = c - 2$  to  $i = 2$ . □

This theorem suggests the following algorithm to get  $\Lambda$  from  $(\nu_i)$ .

- Compute  $k = \max\{i \mid \nu_i = \nu_{i+1}\}$ .
- Compute  $g = k + 2 - \nu_k$  and  $c = \frac{k+g+2}{2}$ .
- $\{0\} \cup \{i \in \mathbb{N}_0 \mid i \geq c\} \subseteq \Lambda$ ,  $\{1, c - 1\} \subseteq \Lambda^c$ .
- For all  $i \in \{2, \dots, c - 2\}$ ,
  - Compute

$$\tilde{D}(i) = \{l \in \Lambda^c \mid c - 1 + i - l \in \Lambda^c, i < l < c - 1\}$$

$$- i \text{ is a non-gap} \iff \nu_{c-1+i-g} = c + i - 2g + \#\tilde{D}(i).$$

**Remark 2.3.** — From the proof of Theorem 2.2 we see that a semigroup can be determined by  $k = \max\{i \mid \nu_i = \nu_{i+1}\}$  and the values  $\nu_i$  for  $i \in \{c - g + 1, \dots, 2c - g - 3\}$ .

### 3. Arf case

A numerical semigroup  $\Lambda$  is said to be *Arf* if for every  $x, y, z \in \Lambda$  with  $x \geq y \geq z$ , it holds that  $x + y - z \in \Lambda$ . Arf numerical semigroups have been widely studied in [1, 6, 12, 3, 2, 4]. In particular we have that a numerical semigroup is Arf if and only if for every  $x, y \in \Lambda$  with  $x \geq y$ , it holds that  $2x - y \in \Lambda$  [6]. In [11, 5, 3, 2] a study on the codes of maximum dimension among the codes in a certain class decoding the so-called generic errors leads to the following definition.

**Definition 3.1.** — Given a numerical semigroup  $\Lambda$  with enumeration  $\lambda$  and a non-negative integer  $i$  define

$$\Sigma_i := \{l \in \Lambda \mid l \geq \lambda_i\}.$$

We will see that the sets  $\Sigma_i$  are very important when studying Arf numerical semigroups. In particular the study of the codes explained above lead to new characterizations of Arf numerical semigroups [2]. Let us first state three results on general numerical semigroups related to the sets  $\Sigma_i$ .

**Proposition 3.2.** — *Given a numerical semigroup  $\Lambda$  and a non-negative integer  $i$ ,*

- (1)  $\lambda_i + \Sigma_i \subseteq \Sigma_i + \Sigma_i$ ,
- (2)  $\#\{j \in \mathbb{N}_0 \mid \lambda_j \notin \Sigma_i + \Sigma_i\} \leq \lambda_i + i$ ,
- (3)  $\{j \in \mathbb{N}_0 \mid \lambda_j \notin \Sigma_i + \Sigma_i\} \subseteq \{j \in \mathbb{N}_0 \mid \nu_j \leq 2i\}$ .

*Proof*

- (1) Obvious.