

Mémoires

de la SOCIÉTÉ MATHÉMATIQUE DE FRANCE

Numéro 160
Nouvelle série

**ERGODIC PROPERTIES
OF SOME NEGATIVELY
CURVED MANIFOLDS
WITH INFINITE MEASURE**

2 0 1 9

Pierre VIDOTTO

SOCIÉTÉ MATHÉMATIQUE DE FRANCE

Comité de rédaction

Christine BACHOC

Julien MARCHÉ

Yann BUGEAUD

Kieran O'GRADY

Jean-François DAT

Emmanuel RUSS

Pascal HUBERT

Christine SABOT

Laurent MANIVEL

Marc HERZLICH (dir.)

Diffusion

Maison de la SMF

AMS

Case 916 - Luminy

P.O. Box 6248

13288 Marseille Cedex 9

Providence RI 02940

France

USA

commandes@smf.emath.fr

www.ams.org

Tarifs

Vente au numéro : 35 € (\$52)

Abonnement électronique : 113 € (\$170)

Abonnement avec supplément papier : 167 €, hors Europe : 197 € (\$296)

Des conditions spéciales sont accordées aux membres de la SMF.

Secrétariat

Mémoires de la SMF

Société Mathématique de France

Institut Henri Poincaré, 11, rue Pierre et Marie Curie

75231 Paris Cedex 05, France

Tél : (33) 01 44 27 67 99 • Fax : (33) 01 40 46 90 96

memoires@smf.emath.fr • <http://smf.emath.fr/>

© Société Mathématique de France 2019

Tous droits réservés (article L 122-4 du Code de la propriété intellectuelle). Toute représentation ou reproduction intégrale ou partielle faite sans le consentement de l'éditeur est illicite. Cette représentation ou reproduction par quelque procédé que ce soit constituerait une contrefaçon sanctionnée par les articles L 335-2 et suivants du CPI.

ISSN papier 0249-633-X; électronique : 2275-3230

ISBN 978-2-85629-901-2

[doi:10.24033/msmf.468](https://doi.org/10.24033/msmf.468)

Directeur de la publication : Stéphane SEURET

**ERGODIC PROPERTIES OF SOME
NEGATIVELY CURVED MANIFOLDS WITH
INFINITE MEASURE**

Pierre Vidotto

P. Vidotto

Laboratoire Jean Leray, 2 rue de la Houssinière - BP92208,
44322 Nantes Cedex 3 France.

E-mail : pierre.vidotto@yahoo.fr

2000 Mathematics Subject Classification. – 58F17, 58F20, 20H10.

Key words and phrases. – Ergodic theory, infinite measure, geodesic flow, orbital function, mixing, critical exponent, Schottky groups.

ERGODIC PROPERTIES OF SOME NEGATIVELY CURVED MANIFOLDS WITH INFINITE MEASURE

Pierre Vidotto

Abstract. – Let $M = X/\Gamma$ be a geometrically finite negatively curved manifold with fundamental group Γ acting on X by isometries. The purpose of this book is to study the mixing property of the geodesic flow on T^1M , the asymptotic behavior as $R \rightarrow +\infty$ of the number of closed geodesics on M of length less than R and of the orbital counting function $\#\{\gamma \in \Gamma \mid d(\mathbf{o}, \gamma \cdot \mathbf{o}) \leq R\}$.

These properties are well known when the Bowen-Margulis measure on T^1M is finite. We consider here Schottky group $\Gamma = \Gamma_1 * \Gamma_2 * \dots * \Gamma_k$ whose Bowen-Margulis measure is infinite and ergodic, such that one of the elementary factor Γ_i is parabolic with $\delta_{\Gamma_i} = \delta_\Gamma$. We specify these ergodic properties using a symbolic coding induced by the Schottky group structure.

Résumé. – Soit $M = X/\Gamma$ une variété géométriquement finie de courbure strictement négative et Γ son groupe fondamental agissant par isométries sur X . Nous étudions successivement dans cet article une propriété de mélange du flot géodésique sur T^1M , le comportement quand $R \rightarrow +\infty$ du nombre de géodésiques fermées de M de longueur plus petite que R et celui de la fonction orbitale $\#\{\gamma \in \Gamma \mid d(\mathbf{o}, \gamma \cdot \mathbf{o}) \leq R\}$.

Ces propriétés sont bien connues dans le cas où la mesure de Bowen-Margulis est finie sur T^1M . Nous considérons ici un groupe de Schottky $\Gamma = \Gamma_1 * \Gamma_2 * \dots * \Gamma_k$ de mesure de Bowen-Margulis infinie et ergodique, pour lequel au moins un facteur Γ_i est parabolique et satisfait $\delta_{\Gamma_i} = \delta_\Gamma$. Les propriétés ergodiques ci-dessus sont alors précisées, en utilisant un codage symbolique induit par la structure de groupe de Schottky de Γ .

CONTENTS

1. Introduction	1
1.1. Background and previous results	1
1.2. Assumptions and results	3
1.3. Outline of the book	8
1.4. Acknowledgements	8
2. Exotic Schottky Groups	11
2.1. Negatively curved manifolds and Schottky groups	11
2.1.1. Notation	11
2.1.2. Schottky product groups	12
2.1.3. Geodesic flow and Bowen-Margulis measure	14
2.2. Construction of exotic Schottky groups	14
2.2.1. Divergent group and finite Bowen-Margulis measure	15
2.2.2. Construction of a convergent parabolic group	15
2.2.3. A convergent parabolic group satisfying assumptions (P_2) and (S) ..	17
2.2.4. A group with convergent parabolic subgroup	22
2.2.5. A divergent group with infinite measure m_Γ	24
2.2.6. Comments	26
3. Regularly varying functions and stable laws	27
3.1. Slowly varying functions	27
3.1.1. Definitions and classical results	27
3.1.2. Karamata's and Potter's lemmas	27
3.2. Applications	28
3.2.1. Local estimates for characteristic functions	28
3.2.2. Equivalence in Remark 1.2.3	30
4. Coding and transfer operators	33
4.1. Coding of the limit set and of the geodesic flow	33
4.1.1. Coding of the limit set	35
4.1.2. Coding of the geodesic flow	36

4.1.3. The dynamical system (Λ^0, T, ν)	40
4.2. Transfer operators	41
4.2.1. Definition and first properties	42
4.2.2. Study of perturbations of \mathcal{L}_δ	46
4.2.3. Regularity of the dominant eigenvalue	50
4.2.4. The resolvant operator when $\beta = 1$	55
5. Theorem A: mixing for $\beta \in]0, 1[$	57
5.1. Study of $M(R; A, B)$	58
5.2. Theorem A for $\beta \in]0, 1[$	60
5.2.1. Asymptotic for $M(R; \varphi \otimes u, \psi \otimes v)$	61
5.2.2. Proof of Proposition A.1	65
5.2.3. Proof of Proposition A.2	69
6. Theorem A: mixing for $\beta = 1$	81
6.1. Proof of (44)	82
6.2. Proof of Proposition 6.1.1	88
7. Theorem B: closed geodesics for $\beta \in]0, 1[$	95
7.1. Proof of Theorem B	95
7.2. Proposition 7.1.2	98
7.2.1. Proof of Proposition B.1	101
7.2.2. Proof of Proposition B.2	103
8. Extended coding	105
8.1. Extension of the coding to finite sequences	105
8.2. Regularity of the extended cocycle	107
8.3. The extended transfer operator and its spectral properties	114
9. Theorem C: asymptotic of the orbital counting function	121
9.1. Proposition 9.0.1 for $\beta \in]0, 1[$	122
9.1.1. Proof of Proposition C.1	124
9.1.2. Proof of Proposition C.2	126
9.2. Proposition 9.0.1 for $\beta = 1$	126
Bibliography	129

CHAPTER 1

INTRODUCTION

1.1. Background and previous results

Let X be a connected, simply connected and complete riemannian manifold with pinched negative sectional curvature. Denote by d the distance on X induced by the riemannian structure of X and by Γ a discrete group of isometries of (X, d) , acting properly discontinuously without fixed point and let $M = X/\Gamma$. Fix $\mathbf{o} \in X$. The study of quantities like the orbital function

$$N_\Gamma(\mathbf{o}, R) := \#\{\gamma \in \Gamma \mid d(\mathbf{o}, \gamma \cdot \mathbf{o}) \leq R\}$$

is strongly related to the dynamics of the geodesic flow $(g_t)_{t \in \mathbb{R}}$ on the unit tangent bundle $T^1 M$ of the quotient manifold. Let us first define precisely this flow: each pair $(\mathbf{p}, \mathbf{v}) \in T^1 M$ determines a unique geodesic $(\gamma(t))_{t \in \mathbb{R}}$ satisfying $(\gamma(0), \gamma'(0)) = (\mathbf{p}, \mathbf{v})$ and for any $t \in \mathbb{R}$, the action of g_t is given by $g_t(\mathbf{p}, \mathbf{v}) = (\gamma(t), \gamma'(t))$. It is known (see [37]) that the topological entropy of the geodesic flow is given by the rate of exponential growth δ_Γ of the orbital function, that is

$$\delta_\Gamma := \limsup_{R \rightarrow +\infty} \frac{\ln(N_\Gamma(\mathbf{o}, R))}{R}.$$

This last quantity is also the critical exponent of the *Poincaré series* \mathcal{P}_Γ of the group Γ defined as follows: for any $s > 0$

$$\mathcal{P}_\Gamma(s) := \sum_{\gamma \in \Gamma} e^{-s d(\mathbf{o}, \gamma \cdot \mathbf{o})}.$$

S. J. Patterson (in [39]) and D. Sullivan (in [43]) used these series to construct a family of measures $(\sigma_x)_{x \in X}$, the so-called Patterson-Sullivan measures. More precisely, each measure σ_x is fully-supported by the limit set $\Lambda_\Gamma \subset \partial X$, which is defined as the set of all accumulation points of one (all) Γ -orbit(s) in the visual boundary ∂X of X . This set is also the smallest non-empty Γ -invariant closed subset of $X \cup \partial X$. It is the closure in the boundary of the set of fixed points of $\Gamma^* := \Gamma \setminus \{\text{Id}\}$. A group Γ is said to be elementary if its limit set is a finite set. S.J. Patterson and D. Sullivan

described a process to associate to this family a measure m_Γ defined on T^1M , which is invariant under the action of the geodesic flow. When the group Γ is *divergent*, i.e., $\mathcal{P}_\Gamma(\delta_\Gamma) = +\infty$ (otherwise Γ is said to be *convergent*), the family $(\sigma_x)_{x \in X}$ is unique up to a normalization, hence m_Γ is also unique. We will focus in this book on the case of divergent groups, which allows us to speak about “the” Bowen-Margulis measure m_Γ even when m_Γ has infinite mass. Nevertheless, in this introduction, the assumption “ $M = X/\Gamma$ has infinite Bowen-Margulis measure” should be in general understood as the fact that *any* invariant measure obtained from a Patterson-Sullivan density $(\sigma_x)_{x \in X}$ has infinite mass. We first study here a property of mixing of the geodesic flow $(g_t)_{t \in \mathbb{R}}$ with respect to this measure. We say that the geodesic flow $(g_t)_{t \in \mathbb{R}}$ is mixing with respect to a measure m with finite total mass $\|m\|$ on T^1M , if for any m -measurable sets $\mathfrak{A}, \mathfrak{B} \subset T^1M$, one gets

$$(1) \quad m(\mathfrak{A} \cap g_{-t}\mathfrak{B}) \xrightarrow[t \rightarrow \pm\infty]{} \frac{m(\mathfrak{A})m(\mathfrak{B})}{\|m\|} \text{ as } t \rightarrow \pm\infty.$$

When the measure m has infinite mass, this definition may be extended saying that the flow $(g_t)_{t \in \mathbb{R}}$ is mixing if

$$m(\mathfrak{A} \cap g_{-t}\mathfrak{B}) \xrightarrow[t \rightarrow \pm\infty]{} 0 \text{ as } t \rightarrow \pm\infty, \text{ where } \mathfrak{A} \text{ and } \mathfrak{B} \text{ have finite measure.}$$

When the measure m_Γ is finite, Property (1) was first proved by G. A. Hedlund in [27] for finite volume surfaces in constant curvature, by F. Dal'bo and M. Peigné for Schottky groups with parabolic isometries acting on Hadamard manifolds with pinched negative curvature (see [17]) and by M. Babillot in the general case (see [1]). The following result of T. Roblin [41] gathers all the information known in such a general content.

THEOREM (Roblin). – *If the Bowen-Margulis measure m_Γ has finite mass $\|m_\Gamma\|$ (resp. infinite mass), the flow $(g_t)_{t \in \mathbb{R}}$ satisfies*

$$m_\Gamma(\mathfrak{A} \cap g_{-t}\mathfrak{B}) \xrightarrow[t \rightarrow \pm\infty]{} \frac{m_\Gamma(\mathfrak{A})m_\Gamma(\mathfrak{B})}{\|m_\Gamma\|} \left(\text{resp. } m_\Gamma(\mathfrak{A} \cap g_{-t}\mathfrak{B}) \xrightarrow[t \rightarrow \pm\infty]{} 0 \right).$$

REMARK 1.1.1. – *The definition of mixing in infinite measure seems to be weak (see the third chapter of [41] about this fact). Nevertheless, our Theorem A below will furnish an asymptotic of the form*

$$m_\Gamma(\mathfrak{A} \cap g_{-t}\mathfrak{B}) \underset{t \rightarrow \pm\infty}{\sim} \varepsilon(|t|)m_\Gamma(\mathfrak{A})m_\Gamma(\mathfrak{B}), \text{ for an explicit function } \varepsilon,$$

which can be understood as a mixing property, up to a renormalization.

On the one hand, this property is interesting from the point of view of the ergodic theory. On the other hand, in the case of geometrically finite manifolds with finite measure, the property of mixing of the geodesic flow may be used to find an asymptotic of the orbital function $N_\Gamma(\mathbf{o}, R)$. This idea was initially developed in G.A. Margulis’