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An analytic cancellation theorem and exotic algebraic structures on C^n , $n \geq 3$

M. G. Zaidenberg

Introduction

Zariski's problem on cancellation (by an affine space) is usually formulated as follows * :

Let $X \times A^n \simeq Y \times A^n$ be an isomorphism of algebraic varieties. Does it follow that $X \simeq Y$?

In general, the answer is negative even for surfaces over C [Da] , [tDi] . In an important special case, when $Y = A^k$, it is known only that the answer is positive for $k \leq 2$ (M. Miyanishi - T. Sugie and T. Fujita, see [Fu 2] or [Km]).

It was C. P. Ramanujam, who in his earlier attempt to prove the latter result noticed a connection of the problem with the question of existence of exotic algebraic structures on affine spaces [Ra] . The main theorem in [Ra] on a characterization of the affine plane implies that the only complex algebraic structure on R^4 is the standard structure of C^2 . (The proof of this theorem contains a great deal of tools that are used now in a study of acyclic algebraic surfaces.) Producing the first example of a topologically contractible smooth complex algebraic surface X , non-isomorphic to C^2 , C. P. Ramanujam remarked that by the h-cobordism theorem the threefold $X \times C$ is diffeomorphic to C^3 , but it is not isomorphic as algebraic variety to C^3 provided that the above version of the cancellation problem is answered affirmatively. Thus, this does lead to an exotic complex algebraic structure on R^6 .

In 1987–1989 many new examples of acyclic and contractible algebraic surfaces were constructed (see for instance, [Gu Mi], [tDi Pe], [Su], [Za 2]). In the Appendix to this paper we shall describe two countable series of examples in which each surface X carries a family of curves $X \rightarrow C$ with a generic fibre $C^{**} := C \setminus \{0, 1\}$. We shall distinguish these surfaces up to isomorphism and calculate their logarithmic Kodaira dimensions $\bar{k}(X)$. For most of them $\bar{k}(X) = 2$, so they are of hyperbolic (or log-general) type. In [Za 1], [Za 3] it is proved that

* for the original setting see, for instance, [Ab Ha Ea]

they are the only examples of acyclic surfaces of log-general type which support isotrivial families of curves with the base $\mathbb{C}$ (i.e. families with pairwise isomorphic generic fibres). Following [Ra] we use these surfaces in order to introduce exotic algebraic structures on affine spaces.

Main Theorem. *For any $n \geq 3$ there exists a countable set of complex affine algebraic structures on $\mathbb{R}^{2n}$ which are pairwise biholomorphically nonequivalent.*

These structures can be distinguished in an algebraic sense, using the Strong Cancellation Theorem of Iitaka and Fujita [Ii Fu] . And by Strong Analytic Cancellation Theorem 1.10 they differ even in the analytic sense. Indeed, by the Iitaka-Fujita Theorem given an isomorphism $X \times \mathbb{C}^n \rightarrow Y \times \mathbb{C}^n$ the $\mathbb{C}^n$ can be cancelled if $\bar{k}(Y) \geq 0$. By Theorem 1.10 below, given a biholomorphism $X \times \mathbb{C}^n \rightarrow Y \times \mathbb{C}^n$, where X and Y are quasiprojective, the $\mathbb{C}^n$ can be cancelled, giving an isomorphism $X \rightarrow Y$ if $\bar{k}(Y) = \dim_{\mathbb{C}} Y$, i.e. if Y is of hyperbolic type. The examples of non-cancellation for ($\mathbb{Q}$ -acyclic) smooth affine surfaces with $\bar{k} = -\infty$ [Da] , [tDi] show that the assumptions of the first theorem are necessary, while for the second one this is unknown. I do not know also, whether there exist two different complex algebraic structures on $\mathbb{R}^{2n}$ which are analytically the same.

Furthermore, we show that for an acyclic surface X of hyperbolic type, $\mathbb{C}^n$ cannot be isomorphic to (and even cannot injectively dominate) a hypersurface of $X \times \mathbb{C}^{n-1}$ (Theorem 2.4). (In particular, 'exotic $\mathbb{C}^n$ constructed in such a way do not contain $\mathbb{C}^{n-1}$.) This is a generalization of a theorem in [Za 3] on the absence of simply connected curves in acyclic surfaces of general type.

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Remark. Recently A. Dimca, Sh. Kaliman and P. Russell have obtained new examples of exotic $\mathbb{C}^3 - s$, which are hypersurfaces in $\mathbb{C}^4$. For some of them $\bar{k} = 2$.

1. An analytic cancellation theorem

Let us first recall some known facts about holomorphic mappings into manifolds of hyperbolic type.

1.1. Definition [Ii 1]. A nonsingular quasiprojective variety X is called a *manifold of hyperbolic type* iff its logarithmic Kodaira dimension $\bar{k}(X)$ coincides with the dimension $\dim_{\mathbb{C}} X$.

1.2. Theorem. Let X be a nonsingular quasiprojective variety and Y be a manifold of hyperbolic type. Then

- a) [Sa, Theorem 4.1] Y is a volume hyperbolic complex manifold;
- b) [Sa, Proposition 4.2] Every dominant holomorphic mapping $X \rightarrow Y$ is regular;
- c) [Ii 1, p. 182, Corollary] Every dominant holomorphic mapping $Y \rightarrow Y$ is a biregular automorphism;
- d) [Ts] The set $\text{Dom}(X, Y)$ of all dominant holomorphic mappings $X \rightarrow Y$ is finite.

In Corollaries 1.3 — 1.5 below we preserve the assumptions of Theorem 1.2.

1.3. Corollary ([Ii 1, Theorem 6]; [Sa, Theorem 5.2]). The group $\text{Aut } Y$ of biregular automorphisms of Y is finite.

1.4. Corollary. $\text{Dom}(X, Y)$ is an open and closed subspace of the space $\text{Hol}(X, Y)$ of all holomorphic mappings $X \rightarrow Y$, endowed with the compact-open topology.

1.5. Corollary. Suppose that there exist mappings $\varphi \in \text{Dom}(X, Y)$ and $\psi \in \text{Dom}(Y, X)$. Then both φ and ψ are biregular isomorphisms.

1.6. Definition [Ur]. A complex manifold Y belongs to class C iff for any connected complex manifold Z and any holomorphic mapping $\varphi: Y \times Z \rightarrow Y$ such that for some $z_0 \in Z$ the mapping $\varphi_{z_0} := \varphi|_{Y \times \{z_0\}}$ belongs to the group $\text{Aut } Y$, it follows that $\varphi_z \equiv \varphi_{z_0}$ for every $z \in Z$.

Let us recall that for manifolds of class C the cancellation theorem and the theorem of the uniqueness of a primary product-decomposition hold [Ur].

1.10. Theorem. *Let X and Y be smooth irreducible quasiprojective manifolds of hyperbolic type. Let for some k and $m \geq 0$ a biholomorphism $\Phi: X \times \mathbb{C}^k \rightarrow Y \times \mathbb{C}^m$ be given. Then $k = m$ and there exists a unique biregular isomorphism $\varphi: X \rightarrow Y$ making the following diagram commutative:*

$$\begin{array}{ccc} & \Phi & \\ X \times \mathbb{C}^k & \rightarrow & Y \times \mathbb{C}^k \\ \downarrow & & \downarrow \\ & \varphi & \\ X & \rightarrow & Y \end{array} .$$

In particular, Φ has a triangular form $\Phi(x, z) = (\varphi(x), \psi(x, z))$, where $(x, z) \in X \times \mathbb{C}^k$ and where for each $x \in X$ the mapping $\psi_x := \psi|_{\{x\} \times \mathbb{C}^k}$ belongs to the group $\text{Aut } \mathbb{C}^k$ of biregular automorphisms of $\mathbb{C}^k$.

Proof. By Theorem 1.2, a) X and Y are volume hyperbolic manifolds. Hence by Corollary 1.9 $\dim_{\mathbb{C}} X = \dim_{\mathbb{C}} Y$ and $k = m$. Let us consider the holomorphic mapping $\varphi := \pi_Y \circ \Phi|_{X \times \{0_k\}}: X \rightarrow Y$. We will show that φ is a dominant regular mapping.

The holomorphic mapping $f := \pi_Y \circ \Phi: X \times \mathbb{C}^k \rightarrow Y$ is dominant, therefore $\dim \text{Ker } df(u_0) = k$ for some $u_0 = (x_0, z_0) \in X \times \mathbb{C}^k$. Let $X' \subset X$ be an affine chart containing the point x_0 . There exists a regular mapping $\alpha: X' \rightarrow \mathbb{C}^k$ such that $\alpha(x_0) = u_0$ and the graph $\Gamma(\alpha) \subset X' \times \mathbb{C}^k$ is transversal to the subspace $\text{Ker } df(u_0)$. Let $\tilde{\alpha} := (\text{id}_{X'}, \alpha): X' \hookrightarrow X' \times \mathbb{C}^k$ be the embedding onto the graph $\Gamma(\alpha)$. It is easily seen that the mapping $\varphi_1 := f \circ \tilde{\alpha}: X' \rightarrow Y$ is dominant.

Consider a family of mappings $\varphi_t := f \circ \tilde{\alpha}_t$, where $\tilde{\alpha}_t := (\text{id}_{X'}, t\alpha)$, $t \in \mathbb{C}$. By Corollary 1.4 $\varphi_t \equiv \varphi_1$ for all $t \in \mathbb{C}$, and by Theorem 1.2, b) φ_1 is regular. Hence $\varphi = \varphi_0 = \varphi_1$ is a dominant regular mapping.

The same arguments applied to the mapping $\eta := \pi_X \circ \Phi^{-1}|_{Y \times \{0\}}: Y \rightarrow X$ show that η is a dominant regular mapping too. Therefore $\varphi: X \rightarrow Y$ is a biregular isomorphism (see Corollary 1.5).

By Corollary 1.4 the mapping $\varphi_z := f|_{X \times \{z\}}: X \rightarrow Y$, $z \in \mathbb{C}^k$, does not depend on z . Hence Φ has a triangular form $\Phi(x, z) = (\varphi(x), \psi(x, z))$. Since Φ is a biholomorphism the mapping $\psi_x: \mathbb{C}^k \rightarrow \mathbb{C}^k$ is biholomorphic for all $x \in \mathbb{C}^k$. This completes the proof.