Astérisque

## KARL OELJEKLAUS

# On the automorphism group of certain hyperbolic domains in $\mathbb{C}^2$

*Astérisque*, tome 217 (1993), p. 193-216 <a href="http://www.numdam.org/item?id=AST">http://www.numdam.org/item?id=AST</a> 1993 217 193 0>

© Société mathématique de France, 1993, tous droits réservés.

L'accès aux archives de la collection « Astérisque » (http://smf4.emath.fr/ Publications/Asterisque/) implique l'accord avec les conditions générales d'utilisation (http://www.numdam.org/conditions). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

### $\mathcal{N}$ umdam

Article numérisé dans le cadre du programme Numérisation de documents anciens mathématiques http://www.numdam.org/

# On the automorphism group of certain hyperbolic domains in $\mathbf{C}^2$

Karl Oeljeklaus

### 1 Introduction and Results

Let  $Q = Q(z, \bar{z})$  be a subharmonic and non-harmonic polynomial on the complex plane **C** with real values. Then the degree the non-harmonic part  $Q^N$  of Q is an even positive number  $2k \in \mathbb{N}^*$ . In their paper [1], F. Berteloot and G. Cœuré proved that the domain  $\Omega_Q = \{(w, z) \in \mathbb{C}^2 \mid \operatorname{Re} w + Q(z, \bar{z}) < 0\}$  is **hyperbolic** for every Q like above. In this note, we consider the positive cone M of all such polynomials and the associated domains  $\Omega_Q \subset \mathbb{C}^2$ .

Let  $Q_1, Q_2 \in M$  and  $\Omega_{Q_1}, \Omega_{Q_2}$  be the associated domains. In what follows, we use also  $\Omega$ ,  $\Omega_1$ ,  $\Omega_2$  instead of  $\Omega_Q$ ,  $\Omega_{Q_1}$ ,  $\Omega_{Q_2}$  if there is no confusion possible. First, we introduce an equivalence relation on the cone M.

**Definition 1.1** Let  $Q_1, Q_2 \in M$ . We say that  $Q_1$  and  $Q_2$  are equivalent  $Q_1 \sim Q_2$ , if there is a real number  $\rho > 0$ , a holomorphic polynomial p(z) and an automorphism g(z) of **C** such that

(1.1) 
$$Q_1(z,\overline{z}) = \rho \operatorname{Re}(p(z)) + \rho Q_2(g(z),\overline{g(z)}).$$

On the other hand, there is another equivalence relation on M given by the biholomorphy of the domains  $\Omega_{Q_1}$  and  $\Omega_{Q_2}$ . The first results states that these two equivalence relations are the same.

**Theorem 1.2** Let  $Q_1, Q_2 \in M$ . Then  $\Omega_1$  and  $\Omega_2$  are biholomorphic, if and only if the two polynomials  $Q_1$  and  $Q_2$  are equivalent in the sense of definition 1.1. In particular the degrees of the non-harmonic parts  $Q_1^N$  and  $Q_2^N$  are equal, if the domains  $\Omega_1$  and  $\Omega_2$  are biholomorphic.

The fact that  $\Omega$  is hyperbolic implies that the holomorphic automorphism group  $\operatorname{Aut}_{\mathcal{O}}(\Omega)$  is a real Lie group and that all isotropy groups of the action of  $\operatorname{Aut}_{\mathcal{O}}(\Omega)$  on  $\Omega$  are compact [3]. We denote by  $G, G_1, G_2$  the connected identity components of  $\operatorname{Aut}_{\mathcal{O}}(\Omega)$ ,  $\operatorname{Aut}_{\mathcal{O}}(\Omega_1)$ ,  $\operatorname{Aut}_{\mathcal{O}}(\Omega_2)$ . Clearly, if  $\Omega_1$  and  $\Omega_2$  are biholomorphic, then  $G_1$  and  $G_2$  are isomorphic.

Let  $\mathcal{G}, \mathcal{G}_1, \mathcal{G}_2$  denote the Lie algebras of  $G, G_1, G_2$ .

Let J,  $J_1$ ,  $J_2$  denote the subgroups of G,  $G_1$ ,  $G_2$  generated by the translation  $\{(w, z) \mapsto (w+it, z) \mid t \in \mathbf{R}\}$  and  $j, j_1, j_2$  their Lie algebras. Hence the dimension of G,  $G_1$ ,  $G_2$  is at least one.

The second result gives a "canonical" defining polynomial for the domain  $\Omega$  if dim<sub>**R**</sub>  $\mathcal{G} \geq 2$ .

**Theorem 1.3** Let  $\Omega = \{\operatorname{Re} w + Q(z) < 0\}$  as above. Assume that  $\dim_{\mathbf{R}} G \geq 2$ . Then there are the following cases :

- a)  $\Omega$  is homogeneous. Then  $\Omega \simeq \mathbf{B}_2 = \{|w|^2 + |z|^2 < 1\}$  and  $Q \sim P_1 \sim P_2$ , where  $P_1(z, \overline{z}) = (\operatorname{Re} z)^2$  and  $P_2(z, \overline{z}) = |z|^2$ .
- b)  $\Omega$  is not homogeneous.
  - 1) dim<sub>**R**</sub> G = 2. Then deg  $Q^N \ge 4$  and either i)  $Q \sim P_1$  or ii)  $Q \sim P_2$ , or iii)  $Q \sim P_3$ , where
    - i)  $P_1(z, \overline{z}) = P_1(\operatorname{Re} z)$  is an element of M depending only on  $\operatorname{Re} z$ and  $G \simeq (\mathbf{R}^2, +)$ ,
    - ii)  $P_2(z, \bar{z}) = P_2(|z|^2)$  is an element of M depending only on  $|z|^2$ , and  $G \simeq \mathbf{R} \times S^1$ ,
    - iii)  $P_3(z, \bar{z})$  is a homogeneous polynomial of degree 2k,  $k \geq 2$ , i.e.  $P_3(\lambda z, \lambda \bar{z}) = \lambda^{2k} P_3(z, \bar{z})$  for all  $\lambda \in \mathbf{R}$  and G is the non-abelian two dimensional real Lie group.
  - 2) dim<sub>**R**</sub>  $G \ge 3$ . Then deg  $Q^N \ge 4$  and either i)  $Q \sim P_1$  or ii)  $Q \sim P_2$  where
    - i)  $P_1(z, \bar{z}) = (\operatorname{Re} z)^{2k}$  and G is 3-dimensional and solvable,
    - ii)  $P_2(z, \bar{z}) = |z|^{2k}$  and G is 4-dimensional and contains a finite covering of  $SL_2(\mathbf{R})$ .

We are going to prove the two theorems simultaneously by distinguishing the dimension of G. First we handle the one and two-dimensional cases, then the homogeneous case and we finish with the three and higher dimensional cases.

Before doing so, we prove the easy direction of theorem1.1.

**Lemma 1.4** If  $Q_1 \sim Q_2$ , then  $\Omega_1$  and  $\Omega_2$  are biholomorphic.

**Proof**: Assume (1.1). Let  $\Psi = (\Psi_1, \Psi_2)$  be the biholomorphic map of  $\mathbf{C}^2$  defined by

(\*) 
$$\begin{cases} \Psi_1(w,z) = \frac{1}{\rho}w + p(z) \\ \Psi_2(w,z) = g(z) \end{cases}$$

Then  $\Psi(\Omega_1) = \Omega_2$ .

**Remark 1.5** In what follows we will often make a global coordinate change in  $C^2$  like (\*), which is coherent with the equivalence of the defining polynomials. In the following, we take the notation from above.

### 2 The one-dimensional case

Let  $\Psi : \Omega_1 \to \Omega_2$  be a biholomorphic map. For a subgroup  $N \subset G_2$  let  $\Psi^*(N)$  be the group  $\Psi^{-1} \circ N \circ \Psi \subset G_1$ .

**Lemma 2.1** Assume that  $\Psi^*(J_2) = J_1$ . Then  $Q_1 \sim Q_2$ .

**Proof :** From our hypothesis it follows that there is a non-zero real number  $\rho$  such that

$$\Psi^{-1} \circ T_t \circ \Psi = T_{\rho t}, \ (T_t(w, z) = (w + it, z)),$$

since  $\Psi^*$  is a continuous group isomorphism of two copies of **R**.

So we get with  $\Psi = (\Psi_1, \Psi_2)$ 

$$\begin{split} \Psi_1(w,z) + it &= \Psi_1(w+i\rho t,z) \\ \Psi_2(w,z) &= \Psi_2(w+i\rho t,z) \end{split}$$

which implies :

$$\Psi_1(w,z) = \frac{1}{\rho}w + p(z)$$
  
$$\Psi_2(w,z) = g(z)$$

with  $p \in \mathcal{O}(\mathbf{C})$  and  $g \in \operatorname{Aut}_{\mathcal{O}}(\mathbf{C})$ , since the projection  $\pi : \mathbf{C}^2 \to \mathbf{C}$ ,  $(w, z) \mapsto z$  is surjective on  $\Omega_1$  and  $\Omega_2$ .

Therefore  $\Psi$  is a biholomorphic map of  ${\bf C}^2$  which maps  $\Omega_1$  to  $\Omega_2$  and so we have

$$\begin{split} \Omega_1 &= \{ \operatorname{Re} w + Q_1(z, \bar{z}) < 0 \} = \Psi^{-1}(\Omega_2) \\ &= \{ \operatorname{Re}(\frac{1}{\rho}w + p(z)) + Q_2(g(z), \overline{g(z)}) < 0 \} \\ &= \{ \operatorname{Re} w + \rho \operatorname{Re} p(z) + \rho Q_2(g(z), \overline{g(z)}) < 0 \}. \end{split}$$

It follows that

$$Q_1(z,\bar{z}) = \rho \operatorname{Re} p(z) + \rho Q_2(g(z),\overline{g(z)}).$$

This equality implies the positivity of  $\rho$  and the fact that the holomorphic function p(z) is already a polynomial. Hence  $Q_1 \sim Q_2$ .

We mention the following direct consequence, which is the statement of theorem 1.2 in the case  $\dim_{\mathbf{R}} G_1 = 1$ .

**Corollary 2.2** If dim<sub>**R**</sub>  $G_1 = 1$ , then  $Q_1$  and  $Q_2$  are equivalent.

**Proof**: Here we have  $G_1 = J_1$  and  $G_2 = J_2$ , hence  $\Psi^*(J_2) = J_1$ .

### 3 The two-dimensional case

We are going to handle this case in a sequence of lemmas. We always assume that there is a two-dimensional subgroup  $H \subset G$  such that  $J \subset H$ . Since  $J \subset G$  is a closed subgroup isomorphic to **R** there are two possibilities for H:

- i) H is abelian and non-compact.
- ii) H is the solvable two dimensional non-abelian Lie group.

**Lemma 3.1** Suppose that H is abelian. Then  $Q \sim P_1$  or  $Q \sim P_2$ , where  $P_1(z, \bar{z}) = P_1(\operatorname{Re} z)$  is an element of M which depends only on  $\operatorname{Re} z$ , or  $P_2(z, \bar{z}) = P_2(|z|^2)$  is an element of M which depends only on  $|z|^2$ .

In the first case, the domain  $\{\operatorname{Re} w + P_1(\operatorname{Re} z) < 0\}$  realizes the domain  $\Omega$  as a tube domain.

**Proof**: Let  $L = \{\sigma^t = (\sigma_1^t, \sigma_2^t) \mid t \in \mathbf{R}\}$  be a one parameter group of H such that L and J generate H. The group H being abelian implies that L and J commute and so we get for all  $s, t \in \mathbf{R}$ :

$$\sigma_1^t(w+is,z) = \sigma_1^t(w,z) + is$$
  
$$\sigma_1^t(w+is,z) = \sigma_1^t(w,z).$$

The restriction of the projection  $\pi: (w, z) \to z$  from  $\mathbb{C}^2$  to  $\Omega$  being surjective and the second equality imply that

$$\sigma_2^t(w,z) = \sigma_2^t(z)$$