

*quatrième série - tome 52      fascicule 5      septembre-octobre 2019*

*ANNALES  
SCIENTIFIQUES  
de  
L'ÉCOLE  
NORMALE  
SUPÉRIEURE*

Bertrand DEROIN & Nicolas THOLOZAN

*Supra-maximal representations from fundamental groups of punctured  
spheres to  $\mathrm{PSL}(2, \mathbb{R})$*

---

SOCIÉTÉ MATHÉMATIQUE DE FRANCE

# Annales Scientifiques de l'École Normale Supérieure

Publiées avec le concours du Centre National de la Recherche Scientifique

## Responsable du comité de rédaction / *Editor-in-chief*

Patrick BERNARD

### Publication fondée en 1864 par Louis Pasteur

Continuée de 1872 à 1882 par H. SAINTE-CLAIRES DEVILLE  
de 1883 à 1888 par H. DEBRAY  
de 1889 à 1900 par C. HERMITE  
de 1901 à 1917 par G. DARBOUX  
de 1918 à 1941 par É. PICARD  
de 1942 à 1967 par P. MONTEL

### Comité de rédaction au 1<sup>er</sup> mars 2019

P. BERNARD	D. HARARI
S. BOUCKSOM	A. NEVES
R. CERF	J. SZEFTEL
G. CHENEVIER	S. VŨ NGỌC
Y. DE CORNULIER	A. WIENHARD
A. DUCROS	G. WILLIAMSON

## Rédaction / *Editor*

Annales Scientifiques de l'École Normale Supérieure,  
45, rue d'Ulm, 75230 Paris Cedex 05, France.  
Tél. : (33) 1 44 32 20 88. Fax : (33) 1 44 32 20 80.  
[annales@ens.fr](mailto:annales@ens.fr)

---

## Édition et abonnements / *Publication and subscriptions*

Société Mathématique de France  
Case 916 - Luminy  
13288 Marseille Cedex 09  
Tél. : (33) 04 91 26 74 64  
Fax : (33) 04 91 41 17 51  
email : [abonnements@smf.emath.fr](mailto:abonnements@smf.emath.fr)

## Tarifs

Abonnement électronique : 420 euros.

Abonnement avec supplément papier :

Europe : 551 €. Hors Europe : 620 € (\$ 930). Vente au numéro : 77 €.

---

© 2019 Société Mathématique de France, Paris

En application de la loi du 1<sup>er</sup> juillet 1992, il est interdit de reproduire, même partiellement, la présente publication sans l'autorisation de l'éditeur ou du Centre français d'exploitation du droit de copie (20, rue des Grands-Augustins, 75006 Paris).

*All rights reserved. No part of this publication may be translated, reproduced, stored in a retrieval system or transmitted in any form or by any other means, electronic, mechanical, photocopying, recording or otherwise, without prior permission of the publisher.*

# SUPRA-MAXIMAL REPRESENTATIONS FROM FUNDAMENTAL GROUPS OF PUNCTURED SPHERES TO $\mathrm{PSL}(2, \mathbb{R})$

BY BERTRAND DEROUIN AND NICOLAS THOLOZAN

---

**ABSTRACT.** — We study a particular class of representations from the fundamental groups of punctured spheres  $\Sigma_{0,n}$  to the group  $\mathrm{PSL}(2, \mathbb{R})$ , which we call *supra-maximal*. Though most of them are Zariski dense, we show that supra-maximal representations are *totally non hyperbolic*, in the sense that every *simple* closed curve is mapped to an elliptic or parabolic element. They are also shown to be *geometrizable* (apart from the reducible ones) in the following very strong sense : for any element of the Teichmüller space  $\mathcal{T}_{0,n}$ , there is a unique holomorphic equivariant map with values in the lower half-plane  $\mathbb{H}^-$ . In the relative character varieties, the components of supra-maximal representations are shown to be compact and symplectomorphic (with respect to the Atiyah-Bott-Goldman symplectic structure) to the complex projective space of dimension  $n - 3$  equipped with a certain multiple of the Fubini-Study form that we compute explicitly. This generalizes a result of Benedetto-Goldman [3] for the sphere minus four points.

**RÉSUMÉ.** — Nous étudions une classe particulière de représentations du groupe fondamental des sphères épointées  $\Sigma_{0,n}$  dans le groupe  $\mathrm{PSL}(2, \mathbb{R})$ , que nous appelons *supra-maximales*. Bien qu'elles soient pour la plupart Zariski denses, nous montrons qu'elles sont *totalemnt non hyperboliques*, au sens où l'image de toute courbe fermée *simple* est elliptique ou parabolique. Nous montrons aussi qu'elles sont *géométrisables* (hormis celles qui sont réductibles) en un sens très fort : pour tout élément de l'espace de Teichmüller  $\mathcal{T}_{0,n}$ , il existe une unique application équivariante holomorphe à valeurs dans le demi-plan inférieur  $\mathbb{H}^-$ . Nous montrons également que les représentations supra-maximales forment des composantes compactes des variétés de caractère relatives. Munies de la structure symplectique de Atiyah-Bott-Goldman, ces composantes sont symplectomorphes à l'espace projectif complexe de dimension  $n - 3$  muni d'un multiple de la forme de Fubini-Study que nous calculons explicitement. Cela généralise un résultat de Benedetto-Goldman pour la sphère à quatre trous.

---

B.D.'s research was partially supported by ANR-13-BS01-0002.

## Introduction

### 0.1. Overview

Let  $\Sigma_{g,n}$  be a surface obtained from a connected oriented closed surface of genus  $g$  by removing  $n$  points, called the punctures. We assume in the sequel that the Euler characteristic of  $\Sigma_{g,n}$  is negative. Throughout the paper, we will denote by  $G = \mathrm{PSL}(2, \mathbb{R})$  the group of orientation-preserving isometries of the half-planes  $\mathbb{H}^\pm = \{z \in \mathbb{C} \mid \pm \operatorname{im}(z) > 0\}$  equipped with the metrics  $\frac{dx^2+dy^2}{y^2}$  of curvature  $-1$ , where  $z = x + iy$ . We denote by  $\mathrm{Hom}(\pi_1(\Sigma_{g,n}), G)$  the set of representations from the fundamental group of  $\Sigma_{g,n}$  to  $G$ , and by  $\mathrm{Rep}(\pi_1(\Sigma_{g,n}), G) = \mathrm{Hom}(\pi_1(\Sigma_{g,n}), G)/G$  its quotient by the action of  $G$  by conjugation. We will call this latter the *character variety*, even though we do not consider the algebraic quotient (in the sense of geometric invariant theory).

A representation  $\rho \in \mathrm{Hom}(\pi_1(\Sigma_{g,n}), G)$  determines a flat oriented  $\mathbb{RP}^1$ -bundle over  $\Sigma_{g,n}$  which, if we forget the flat connection, is encoded up to isomorphism by a class in  $H^2(\Sigma_{g,n}, \mathbb{Z})$ , called the Euler class, and denoted  $\mathbf{eu}(\rho)$ . In the closed case, i.e., when  $n = 0$ , we have  $H^2(\Sigma_{g,0}, \mathbb{Z}) \simeq \mathbb{Z}$ , so that the Euler class is an integer that satisfies the well-known Milnor-Wood inequality :

$$(1) \quad |\mathbf{eu}(\rho)| \leq |\chi(\Sigma_{g,0})|,$$

as proved by Wood [28], following an earlier work of Milnor [23]. All the integral values in the interval (1) are achieved on  $\mathrm{Hom}(\Sigma_{g,0}, G)$ . Goldman proved that the level sets of the Euler class are connected [12], and Hitchin that they are indeed diffeomorphic to vector bundles over some symmetric powers of  $\Sigma_{g,0}$  [19]. Goldman also proved in his doctoral dissertation that the Euler class is extremal exactly when the representation is the holonomy of a hyperbolic structure on  $\Sigma_{g,0}$  [17]. He conjectured more generally that the components of non-zero Euler class are generically made of holonomies of *branched*  $\mathbb{H}^\pm$ -structures on  $\Sigma_{g,0}$  with  $k = |\chi(\Sigma_{g,0})| - |\mathbf{eu}|$  branch points (see [16], as well as [26] where the problem is discussed).

This paper is the first in a series aiming at studying the analogous picture on the *relative* character varieties when the surface  $\Sigma_{g,n}$  is not closed, namely when  $n > 0$ . We focus here on a particular family of components of the relative character varieties, that we call *supra-maximal*. They occur only on punctured spheres  $\Sigma_{0,n}$  for  $n \geq 3$ , for some particular choices of elliptic/parabolic peripheral conjugacy classes.

We prove that these components are compact, and more precisely that they are symplectomorphic (with respect to the Atiyah-Bott-Goldman symplectic structure) to the complex projective space of dimension  $n - 3$ , equipped with a certain multiple of the Fubini-Study form that we compute explicitly. This generalizes to any  $n \geq 4$  a result obtained by Benedetto-Goldman in the case  $n = 4$  [3].

We also prove that the *supra-maximal representations* (i.e., those lying in supra-maximal components) have very special algebraic and geometric properties. First, we prove that they are totally non hyperbolic, namely that no simple closed curve of  $\Sigma_{0,n}$  is mapped to a hyperbolic conjugacy class of  $G$ . Moreover, we prove that they are geometrizable by  $\mathbb{H}^-$ -conifolds in a very strong way.

## 0.2. Volume, relative Euler class and the refined Milnor-Wood inequality

In the closed case, the Euler class is closely related to the *volume* of the representation, classically defined by the integral

$$(2) \quad \text{Vol}(\rho) = \int_{\Sigma_{g,0}} f^* \left( \frac{dx \wedge dy}{y^2} \right)$$

where  $f : \widetilde{\Sigma}_{g,0} \rightarrow \mathbb{H}^+$  is any  $\rho$ -equivariant smooth map. Namely, we have  $\text{Vol}(\rho) = -2\pi \mathbf{eu}(\rho)$ . Burger and Iozzi [5] and Koziarz and Maubon [20] have independently extended the definition of the volume of a representation  $\rho : \pi_1(\Sigma_{g,n}) \rightarrow G$  to the case of punctured surfaces. (See also Burger-Iozzi-Wienhard [6] for a generalization to representations into Lie groups of Hermitian type.) This volume can be defined as a bounded cohomology class, or more trivially as an integral of the form (2), where the behavior of the equivariant map is constrained in the neighborhood of the cusps: namely, the completion of the metric  $f^*(\frac{dx^2+dy^2}{y^2})$  in the neighborhood of a cusp is assumed to be a cone, a parabolic cusp, or an annulus with totally geodesic boundary.

The analogous Milnor-Wood inequality

$$(3) \quad |\text{Vol}(\rho)| \leq 2\pi |\chi(\Sigma_{g,n})|,$$

holds in this context [6, 20]. It is also proved in [6] that the volume is continuous as a function on  $\text{Rep}(\pi_1(\Sigma_{g,n}), G)$  and achieves every value in the interval defined by (3).

The volume heavily depends on the conjugacy class of the peripherals  $\rho(c_i)$ , where the  $c_i$  are elements of  $\pi_1(\Sigma_{g,n})$  freely homotopic to positive loops around the punctures. For instance, its reduction modulo  $2\pi$  equals the sum  $-\sum_i R(\rho(c_i))$ , where  $R(\rho(c_i))$  is the rotation number of  $\rho(c_i)$  [6, Theorem 12]. In order to understand better the dependence of the volume on the  $\rho(c_i)$ , it is convenient to introduce the following function:

$$\theta : G \rightarrow \mathbb{R}_+$$

that maps an element  $g \in G$  to

- 0 if  $g$  is hyperbolic or positive parabolic (i.e., a parabolic that translates the horocycles based at the fixed point of  $g$  clockwise),
- $2\pi$  if  $g$  is negative parabolic (i.e., a parabolic that translates the horocycles based at the fixed point of  $g$  counterclockwise) or the identity,
- the value between 0 and  $2\pi$  of the rotation angle of  $g$  when  $g$  is elliptic.

We will denote  $\theta_i(\rho) = \theta(\rho(c_i))$  and  $\Theta(\rho) = \sum_{i=1}^n \theta_i(\rho)$ .

**REMARK 0.1.** – The function  $\theta$  is one among the many ways of lifting the rotation number to a function from  $G$  to  $\mathbb{R}$ . Note however that it is (up to adding a multiple of  $2\pi$ ) the only lift which is continuous in restriction to the set of elliptic elements and upper semi-continuous on the whole group  $G$ .

**DEFINITION 0.2.** – We define the *relative Euler class* of the representation  $\rho$  by

$$(4) \quad -\mathbf{eu}(\rho) = \frac{1}{2\pi} (\text{Vol}(\rho) + \Theta(\rho)).$$