

*quatrième série - tome 50      fascicule 4      juillet-août 2017*

*ANNALES  
SCIENTIFIQUES  
de  
L'ÉCOLE  
NORMALE  
SUPÉRIEURE*

Takeshi SAITO & Yuri YATAGAWA

*Wild ramification determines the characteristic cycle*

---

SOCIÉTÉ MATHÉMATIQUE DE FRANCE

# Annales Scientifiques de l'École Normale Supérieure

Publiées avec le concours du Centre National de la Recherche Scientifique

## Responsable du comité de rédaction / *Editor-in-chief*

Emmanuel KOWALSKI

### Publication fondée en 1864 par Louis Pasteur

Continuée de 1872 à 1882 par H. SAINTE-CLAIRES DEVILLE  
de 1883 à 1888 par H. DEBRAY  
de 1889 à 1900 par C. HERMITE  
de 1901 à 1917 par G. DARBOUX  
de 1918 à 1941 par É. PICARD  
de 1942 à 1967 par P. MONTEL

### Comité de rédaction au 1<sup>er</sup> janvier 2017

P. BERNARD	A. NEVES
S. BOUCKSOM	J. SZEFTEL
E. BREUILLARD	S. VŨ NGỌC
R. CERF	A. WIENHARD
G. CHENEVIER	G. WILLIAMSON
E. KOWALSKI	

## Rédaction / *Editor*

Annales Scientifiques de l'École Normale Supérieure,  
45, rue d'Ulm, 75230 Paris Cedex 05, France.  
Tél. : (33) 1 44 32 20 88. Fax : (33) 1 44 32 20 80.  
[annales@ens.fr](mailto:annales@ens.fr)

---

### Édition / *Publication*

Société Mathématique de France  
Institut Henri Poincaré  
11, rue Pierre et Marie Curie  
75231 Paris Cedex 05  
Tél. : (33) 01 44 27 67 99  
Fax : (33) 01 40 46 90 96

### Abonnements / *Subscriptions*

Maison de la SMF  
Case 916 - Luminy  
13288 Marseille Cedex 09  
Fax : (33) 04 91 41 17 51  
email : [smf@smf.univ-mrs.fr](mailto:smf@smf.univ-mrs.fr)

## Tarifs

Europe : 519 €. Hors Europe : 548 €. Vente au numéro : 77 €.

---

© 2017 Société Mathématique de France, Paris

En application de la loi du 1<sup>er</sup> juillet 1992, il est interdit de reproduire, même partiellement, la présente publication sans l'autorisation de l'éditeur ou du Centre français d'exploitation du droit de copie (20, rue des Grands-Augustins, 75006 Paris).

*All rights reserved. No part of this publication may be translated, reproduced, stored in a retrieval system or transmitted in any form or by any other means, electronic, mechanical, photocopying, recording or otherwise, without prior permission of the publisher.*

# WILD RAMIFICATION DETERMINES THE CHARACTERISTIC CYCLE

BY TAKESHI SAITO AND YURI YATAGAWA

---

**ABSTRACT.** — Constructible complexes have the same characteristic cycle if they have the same wild ramification, even if the characteristics of the coefficients fields are different.

**RÉSUMÉ.** — Des complexes constructibles ont le même cycle caractéristique s'ils ont la même ramification sauvage, même si les caractéristiques des corps de coefficients sont différentes.

The characteristic cycle  $CC \mathcal{J}$  of a constructible complex  $\mathcal{J}$  on a smooth variety  $X$  over a perfect field  $k$  is defined in [8], as a cycle on the cotangent bundle  $T^*X$  supported on the singular support  $SS \mathcal{J}$  defined by Beilinson in [1]. The characteristic cycle is characterized by the Milnor formula recalled in Theorem 1.3 computing the total dimension of the space of vanishing cycles.

We show that constructible complexes have the same characteristic cycle if they have the *same wild ramification*. This terminology will be defined in Definition 5.1 in the text.

**THEOREM 0.1.** — *Let  $X$  be a smooth scheme over a perfect field  $k$  and let  $\Lambda$  and  $\Lambda'$  be finite fields of characteristic invertible in  $k$ . Let  $\mathcal{J}$  and  $\mathcal{J}'$  be constructible complexes of  $\Lambda$ -modules and of  $\Lambda'$ -modules on  $X$  respectively. If  $\mathcal{J}$  and  $\mathcal{J}'$  have the same wild ramification, we have*

$$(0.1) \quad CC \mathcal{J} = CC \mathcal{J}'.$$

A special case where  $\Lambda = \Lambda'$  is proved in the thesis of the second named author [11, Theorem 7.25]. Theorem 0.1 is a refinement of and is deduced from the following equality of Euler characteristic.

**PROPOSITION 0.2** (cf. [5, Théorème 2.1]). — *Let  $X$  be a separated scheme of finite type over an algebraically closed field  $k$  and let  $\Lambda$  and  $\Lambda'$  be finite fields of characteristic invertible in  $k$ . Let  $\mathcal{J}$  and  $\mathcal{J}'$  be constructible complexes of  $\Lambda$ -modules and of  $\Lambda'$ -modules on  $X$  respectively. If  $\mathcal{J}$  and  $\mathcal{J}'$  have the same wild ramification, we have*

$$(0.2) \quad \chi_c(X, \mathcal{J}) = \chi_c(X, \mathcal{J}').$$

A special case where  $\Lambda = \Lambda'$  is proved in [5, Théorème 2.1].

To deduce Theorem 0.1 from Proposition 0.2, we take a morphism to a curve and use the Grothendieck-Ogg-Shafarevich formula to recover the total dimension of the space of vanishing cycles appearing in the characterization of characteristic cycle from the Euler-Poincaré characteristic.

We briefly recall the definition and properties of singular support and characteristic cycle in Section 1. As preliminaries of proof of Theorem 0.1, we prove the existence of a good pencil in Section 2. We show that the characteristic cycle of a sheaf is determined by the Euler-Poincaré characteristics of its pull-backs using the existence of a good pencil in Section 3. Finally, we prove Theorem 0.1 after defining the condition for constructible complexes to have the same wild ramification in Section 4.

The authors thank Alexander Beilinson for suggesting weakening the assumption in the main result and also for an interpretation of the equality (5.1) in Definition 5.1 using connected components of the center of a group algebra. The research was partially supported by JSPS Grants-in-Aid for Scientific Research (A) 26247002, JSPS KAKENHI Grant Number 15J03851, and the Program for Leading Graduate Schools, MEXT, Japan. A part of this article is written during the stay of one of the authors (T. S.) at IHÉS. He thanks Ahmed Abbes for the hospitality.

## 1. Characteristic cycle

We briefly recall the definition of characteristic cycle. We refer to [8] for more detail. For a smooth scheme  $X$  over a field  $k$ , let  $T^*X = \text{Spec } S^\bullet \Omega_X^{1, \vee}$  be the cotangent bundle of  $X$  and let  $T_X^*$  denote the zero section. A morphism  $f: X \rightarrow Y$  of smooth schemes over  $k$  induces a linear mapping  $df: X \times_Y T^*Y \rightarrow T^*X$  of vector bundles on  $X$ . We say that a closed subset  $C$  of a vector bundle is *conical* if  $C$  is stable under the action by the multiplicative group.

**DEFINITION 1.1** ([1, 1.2]). – Let  $X$  be a smooth scheme over a field  $k$  and let  $C \subset T^*X$  be a closed conical subset.

1. Let  $h: W \rightarrow X$  be a morphism of smooth schemes over  $k$ . We say that  $h$  is *C-transversal* if we have

$$dh^{-1}(T_W^*W) \cap h^*C \subset W \times_X T_X^*X,$$

where  $h^*C = W \times_X C$ .

For a *C-transversal* morphism  $h: W \rightarrow X$ , we define a closed conical subset  $h^*C \subset T^*W$  to be the image of  $h^*C \subset W \times_X T^*X$  by the morphism  $dh: W \times_X T^*X \rightarrow T^*W$ .

2. Let  $f: X \rightarrow Y$  be a morphism of smooth schemes over  $k$ . We say that  $f$  is *C-transversal* if we have

$$df^{-1}(C) \subset X \times_Y T_Y^*Y.$$

3. Let  $h: W \rightarrow X$  and  $f: W \rightarrow Y$  be morphisms of smooth schemes over  $k$ . We say that the pair  $(h, f)$  is *C-transversal* if  $h$  is *C-transversal* and if  $f$  is  $h^*C$ -transversal.

4. Let  $j: U \rightarrow X$  be an étale morphism,  $f: U \rightarrow Y$  a morphism over  $k$  to a smooth curve over  $k$ , and  $u \in U$  a closed point. We say that  $u$  is an *isolated characteristic point* with respect to  $C$  if the pair  $(j, f)$  is not *C-transversal* and its restriction to  $U - \{u\}$  is *C-transversal*.

Let  $\Lambda$  be a finite field of characteristic  $\ell$  invertible in  $k$ . We say that a complex  $\mathcal{F}$  of étale sheaves of  $\Lambda$ -modules on  $X$  is *constructible* if the cohomology sheaf  $\mathcal{H}^q(\mathcal{F})$  is constructible for every  $q$  and if  $\mathcal{H}^q(\mathcal{F}) = 0$  except finitely many  $q$ .

**DEFINITION 1.2** ([1, 1.3]). – Let  $X$  be a smooth scheme over a field  $k$  and let  $\Lambda$  be a finite field of characteristic  $\ell$  invertible in  $k$ . Let  $\mathcal{F}$  be a constructible complex of  $\Lambda$ -modules on  $X$ .

1. Let  $C \subset T^*X$  be a closed conical subset. We say that  $\mathcal{F}$  is *micro-supported* on  $C$  if for every  $C$ -transversal pair  $(h, f)$  of morphisms  $h: W \rightarrow X$  and  $f: W \rightarrow Y$  of smooth schemes over  $k$ , the morphism  $f$  is locally acyclic relatively to  $h^*\mathcal{F}$ .

2. The *singular support*  $SS\mathcal{F}$  of  $\mathcal{F}$  is the smallest closed conical subset  $C$  of  $T^*X$  on which  $\mathcal{F}$  is micro-supported.

By [1, Theorem 1.3], the singular support exists for every constructible complex of  $\Lambda$ -modules. Further, if  $X$  is equidimensional of dimension  $n$ , the singular support is equidimensional of dimension  $n$ .

**THEOREM 1.3** (Milnor formula, [8, Theorem 5.9, Theorem 5.18])

Let  $X$  be a smooth scheme equidimensional of dimension  $n$  over a perfect field  $k$  and let  $\Lambda$  be a finite field of characteristic  $\ell$  invertible in  $k$ . Let  $\mathcal{F}$  be a constructible complex of  $\Lambda$ -modules on  $X$  and  $C \subset T^*X$  a closed conical subset. Assume that  $\mathcal{F}$  is micro-supported on  $C$  and that every irreducible components  $C_a$  of  $C = \bigcup_a C_a$  is of dimension  $n$ .

Then, there exists a unique  $\mathbf{Z}$ -linear combination  $A = \sum_a m_a C_a$  satisfying the following condition: Let  $(j, f)$  be the pair of an étale morphism  $j: U \rightarrow X$  and a morphism  $f: U \rightarrow Y$  over  $k$  to a smooth curve over  $k$ . Let  $u \in U$  be a closed point such that  $u$  is at most an isolated characteristic point of  $f$  with respect to  $C$ . Then we have

$$(1.1) \quad -\dim_{tot} \phi_u(j^*\mathcal{F}, f) = (j^*A, df)_{T^*U, u}.$$

Further  $A$  is independent of  $C$  on which  $\mathcal{F}$  is micro-supported.

In (1.1), the left hand side denotes the minus of the total dimension of the stalk  $\phi_u(j^*\mathcal{F}, f)$  at  $u$  of the complex of vanishing cycles. The total dimension  $\dim_{tot}$  is defined as the sum of the dimension and the Swan conductor. The right hand side denotes the intersection number supported on the fiber of  $u$  of the pull-back  $j^*A$  with the section  $df$  defined to be the pull-back of  $dt$  for a local coordinate  $t$  of  $Y$  at  $f(u)$ .

**DEFINITION 1.4** ([8, Definition 5.10]). – Let  $X$  be a smooth scheme over a perfect field  $k$  and let  $\Lambda$  be a finite field of characteristic  $\ell$  invertible in  $k$ . Let  $\mathcal{F}$  be a constructible complex of  $\Lambda$ -modules on  $X$ . We define the *characteristic cycle*  $CC\mathcal{F}$  of  $\mathcal{F}$  to be  $A = \sum_a m_a C_a$  in Theorem 1.3.

For  $\mathbf{Z}_\ell$ -coefficient or  $\mathbf{Q}_\ell$ -coefficient, the characteristic cycle is defined by taking the reduction modulo  $\ell$ . Theorem 1.3 implies the following additivity of characteristic cycles. For a distinguished triangle  $\rightarrow \mathcal{F}' \rightarrow \mathcal{F} \rightarrow \mathcal{F}'' \rightarrow$  of constructible complexes of  $\Lambda$ -modules, we have

$$(1.2) \quad CC\mathcal{F} = CC\mathcal{F}' + CC\mathcal{F}''.$$