Astérisque

## M. G. ZAIDENBERG An analytic cancellation theorem and exotic

algebraic structures on  $C^n$ ,  $n \ge 3$ 

*Astérisque*, tome 217 (1993), p. 251-282 <a href="http://www.numdam.org/item?id=AST">http://www.numdam.org/item?id=AST</a> 1993 217 251 0>

© Société mathématique de France, 1993, tous droits réservés.

L'accès aux archives de la collection « Astérisque » (http://smf4.emath.fr/ Publications/Asterisque/) implique l'accord avec les conditions générales d'utilisation (http://www.numdam.org/conditions). Toute utilisation commerciale ou impression systématique est constitutive d'une infraction pénale. Toute copie ou impression de ce fichier doit contenir la présente mention de copyright.

## $\mathcal{N}$ umdam

Article numérisé dans le cadre du programme Numérisation de documents anciens mathématiques http://www.numdam.org/

## An analytic cancellation theorem and exotic algebraic structures on $C^n$ , $n \ge 3$

### M. G. Zaidenberg

#### Introduction

Zariski's problem on cancellation (by an affine space) is usually formulated as follows \* :

Let  $X\times A^n\simeq Y\times A^n$  be an isomorphism of algebraic varieties. Does it follows that  $X\simeq Y$  ?

In general, the answer is negative even for surfaces over C [Da], [tDi]. In an important special case, when  $Y = A^k$ , it is known only that the answer is positive for  $k \le 2$  (M. Miyanishi - T. Sugie and T. Fujita, see [Fu 2] or [Km]).

It was C. P. Ramanujam, who in his earlier attempt to prove the latter result noticed a connection of the problem with the question of existence of exotic algebraic structures on affine spaces [Ra]. The main theorem in [Ra] on a characterization of the affine plane implies that the only complex algebraic structure on  $\mathbb{R}^4$  is the standard structure of  $\mathbb{C}^2$ . (The proof of this theorem contains a great deal of tools that are used now in a study of acyclic algebraic surfaces.) Producing the first example of a topologically contractible smooth complex algebraic surface X, non-isomorphic to  $\mathbb{C}^2$ , C. P. Ramanujam remarked that by the h-cobordism theorem the threefold  $X \times \mathbb{C}$  is diffeomorphic to  $\mathbb{C}^3$ , but it is not isomorphic as algebraic variety to  $\mathbb{C}^3$  provided that the above version of the cancellation problem is answered affirmatively. Thus, this does lead to an exotic complex algebraic structure on  $\mathbb{R}^6$ .

In 1987–1989 many new examples of acyclic and contractible algebraic surfaces were constructed (see for instance, [Gu Mi], [tDi Pe], [Su], [Za 2]). In the Appendix to this paper we shall describe two countable series of examples in which each surface X carries a family of curves  $X \rightarrow C$  with a generic fibre  $C^{**} := C \setminus \{0, 1\}$ . We shall distinguish these surfaces up to isomorphism and calculate their logarithmic Kodaira dimensions  $\overline{k}(X)$ . For most of them  $\overline{k}(X) = 2$ , so they are of hyperbolic (or log-general) type. In [Za 1], [Za 3] it is proved that

<sup>\*</sup> for the original setting see, for instance, [Ab Ha Ea]

they are the only examples of acyclic surfaces of log-general type which support isotrivial families of curves with the base C (i.e. families with pairwise isomorphic generic fibres). Following [**Ra**] we use these surfaces in order to introduce exotic algebraic structures on affine spaces.

# **Main Theorem.** For any $n \ge 3$ there exists a countable set of complex affine algebraic structures on $\mathbb{R}^{2n}$ which are pairwise biholomorphically nonequivalent.

These structures can be distinguished in an algebraic sense, using the Strong Cancellation Theorem of Iitaka and Fujita [Ii Fu]. And by Strong Analytic Cancellation Theorem 1.10 they differ even in the analytic sense. Indeed, by the Iitaka-Fujita Theorem given an isomorphism  $X \times C^n \to Y \times C^n$  the  $C^n$  can be cancelled if  $\overline{k}(Y) \ge 0$ . By Theorem 1.10 below, given a biholomorphism  $X \times C^n \to Y \times C^n$  the  $C^n$  can be cancelled, giving an isomorphism  $X \to Y$  if  $\overline{k}(Y) = \dim_C Y$ , i.e. if Y is of hyperbolic type. The examples of non-cancellation for (Q-acyclic) smooth affine surfaces with  $\overline{k} = -\infty$  [Da], [tDi] show that the assumptions of the first theorem are necessary, while for the second one this is unknown. I do not know also, whether there exist two different complex algebraic structures on  $\mathbb{R}^{2n}$  which are analytically the same.

Furthermore, we show that for an acyclic surface X of hyperbolic type,  $C^n$  cannot be isomorphic to (and even cannot injectively dominate) a hypersurface of  $X \times C^{n-1}$  (Theorem 2.4). (In particular, 'exotic  $C^n$  constructed in such a way do not contain  $C^{n-1}$ .) This is a generalization of a theorem in [Za 3] on the absence of simply connected curves in acyclic surfaces of general type.

A report on this paper was done at the 29-th Arbeitstagung in Bonn, 1990. It was prepared during the author's stay at the Max Planck Institut fur Mathematik at Bonn and as a guest of the SFB-170 'Algebra and Geometry' at the Mathematisches Institut of Gottingen University. I am very thankful to these Institutes for their hospitality.

**Remark.** Recently A. Dimca, Sh. Kaliman and P. Russell have obtained new examples of exotic  $C^3 - s$ , which are hypersurfaces in  $C^4$ . For some of them  $\overline{k} = 2$ .

#### 1. An analytic cancellation theorem

Let us first recall some known facts about holomorphic mappings into manifolds of hyperbolic type.

1.1. Definition [Ii 1]. A nonsingular quasiprojective variety X is called a manifold of hyperbolic type iff its logarithmic Kodaira dimension  $\overline{k}(X)$  coincides with the dimension dim<sub>C</sub>X.

**1.2. Theorem.** Let X be a nonsingular quasiprojective variety and Y be a manifold of hyperbolic type. Then

a) [Sa, Theorem 4.1] Y is a volume hyperbolic complex manifold;

b) [Sa, Proposition 4.2] Every dominant holomorphic mapping  $X \to Y$  is regular;

c) [Ii 1, p. 182, Corollary] Every dominant holomorphic mapping  $Y \rightarrow Y$  is a biregular automorphism;

d) [Ts] The set Dom(X, Y) of all dominant holomorphic mappings  $X \to Y$  is finite.

In Corollaries 1.3 - 1.5 below we preserve the assumptions of Theorem 1.2.

1.3. Corollary ([Ii 1, Theorem 6]; [Sa, Theorem 5.2]). The group Aut Y of biregular automorphisms of Y is finite.

**1.4. Corollary. Dom**(X, Y) is an open and closed subspace of the space Hol(X, Y) of all holomorphic mappings  $X \to Y$ , endowed with the compact-open topology.

**1.5. Corollary.** Suppose that there exist mappings  $\varphi \in \text{Dom}(X, Y)$  and  $\psi \in \text{Dom}(Y, X)$ . Then both  $\varphi$  and  $\psi$  are biregular isomorphisms.

**1.6.** Definition [Ur]. A complex manifold Y belongs to class C iff for any connected complex manifold Z and any holomorphic mapping  $\varphi$ :  $Y \times Z \rightarrow Y$  such that for some  $z_0 \in Z$  the mapping  $\varphi_{z_0} := \varphi | Y \times \{z_0\}$  belongs to the group Aut Y, it follows that  $\varphi_z \equiv \varphi_{z_0}$  for every  $z \in Z$ .

Let us recall that for manifolds of class C the cancellation theorem and the theorem of the uniqueness of a primary product-decomposition hold [Ur].

#### M. ZAIDENBERG

**1.10. Theorem.** Let X and Y be smooth irreducible quasiprojective manifolds of hyperbolic type. Let for some k and  $m \ge 0$  a biholomorphism  $\Phi: X \times C^k \rightarrow Y \times C^m$  be given. Then k = m and there exists a unique biregular isomorphism  $\varphi: X \rightarrow Y$  making the following diagram commutative:



In particular,  $\Phi$  has a triangular form  $\Phi(\mathbf{x}, \mathbf{z}) = (\varphi(\mathbf{x}), \psi(\mathbf{x}, \mathbf{z}))$ , where  $(\mathbf{x}, \mathbf{z}) \in \mathbf{X} \times \mathbf{C}^{\mathbf{k}}$  and where for each  $\mathbf{x} \in \mathbf{X}$  the mapping  $\psi_{\mathbf{x}} := \psi \mid \{\mathbf{x}\} \times \mathbf{C}^{\mathbf{k}}$  belongs to the group Aut  $\mathbf{C}^{\mathbf{k}}$  of biregular automorphisms of  $\mathbf{C}^{\mathbf{k}}$ .

**Proof.** By Theorem 1.2, a) X and Y are volume hyperbolic manifolds. Hence by Corollary 1.9 dim<sub>C</sub>X = dim<sub>C</sub>Y and  $\mathbf{k} = \mathbf{m}$ . Let us consider the holomorphic mapping  $\varphi := \pi_{\mathbf{Y}} \circ \Phi | \mathbf{X} \times \{\mathbf{0}_k\} : \mathbf{X} \to \mathbf{Y}$ . We will show that  $\varphi$  is a dominant regular mapping.

The holomorphic mapping  $\mathbf{f} := \pi_{\mathbf{Y}} \circ \Phi \colon \mathbf{X} \times \mathbf{C}^{\mathbf{k}} \to \mathbf{Y}$  is dominant, therefore dim Ker df(u\_0) = k for some  $\mathbf{u}_0 = (\mathbf{x}_0, \mathbf{z}_0) \in \mathbf{X} \times \mathbf{C}^{\mathbf{k}}$ . Let  $\mathbf{X}' \subset \mathbf{X}$  be an affine chart containing the point  $\mathbf{x}_0$ . There exists a regular mapping  $\alpha \colon \mathbf{X}' \to \mathbf{C}^{\mathbf{k}}$ such that  $\alpha(\mathbf{x}_0) = \mathbf{u}_0$  and the graph  $\Gamma(\alpha) \subset \mathbf{X}' \times \mathbf{C}^{\mathbf{k}}$  is transversal to the subspace Ker df (u\_0). Let  $\tilde{\alpha} := (\mathrm{id}_{\mathbf{X}'}, \alpha) \colon \mathbf{X}' \hookrightarrow \mathbf{X}' \times \mathbf{C}^{\mathbf{k}}$  be the embedding onto the graph  $\Gamma(\alpha)$ . It is easily seen that the mapping  $\varphi_1 := \mathbf{f} \circ \tilde{\alpha} \colon \mathbf{X}' \to \mathbf{Y}$  is dominant.

Consider a family of mappings  $\varphi_t := f \circ \tilde{\alpha}_t$ , where  $\tilde{\alpha}_t := (id_{X'}, t\alpha)$ ,  $t \in C$ . By Corollary 1.4  $\varphi_t \equiv \varphi_1$  for all  $t \in C$ , and by Theorem 1.2, b)  $\varphi_1$  is regular. Hence  $\varphi = \varphi_0 = \varphi_1$  is a dominant regular mapping.

The same arguments applied to the mapping  $\eta := \pi_X \circ \Phi^{-1} | Y \times \{0\}$ :  $Y \to X$  show that  $\eta$  is a dominant regular mapping too. Therefore  $\varphi : X \to Y$  is a biregular isomorphism (see Corollary 1.5).

By Corollary 1.4 the mapping  $\varphi_z := f | X \times \{z\} : X \to Y, z \in C^k$ , does not depend on z. Hence  $\Phi$  has a triangular form  $\Phi(x, z) = (\varphi(x), \psi(x, z))$ . Since  $\Phi$  is a biholomorphism the mapping  $\psi_x : C^k \to C^k$  is biholomorphic for all  $x \in C^k$ . This completes the proof.