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Serre's conjecture on Galois representations attached to Weil curves with additive reduction

Joan-C. LARIO

1. Introduction: terminology and facts. – Let E be an elliptic curve defined over \mathbb{Q} which is supposed to be modular, i.e. E is a Weil curve, and denote by $F(z) = \sum A_n e^{2\pi i n z}$ the weight 2 newform attached to E by the Eichler-Shimura congruences.

Fix a prime p > 7. We shall be interested in which cases E has additive reduction at p, excluding the Kodaira reduction types I_{ν}^* ($\nu \ge 0$) which are related to the potentially semi-stable case. Thus p divides exactly twice the geometric conductor N_E of the elliptic curve E.

After [Ed 89] we say that E is *p*-vertical if E has bad but potentially good ordinary reduction at p, and that E is *p*-horizontal if E has bad but potentially good supersingular reduction at p. Recall that these conditions can be given in terms of the Hasse invariant (cf. [Hu 87], pag.248) of E and, moreover, one gets:

$$E ext{ is } p ext{-vertical } \iff p \equiv 1 \pmod{e},$$

where e is the least common multiple of the multiplicities of the irreducible components of the special fibre of the stable model; that is

$$e = \begin{cases} 6 & \text{if } p\text{-type}\left(E\right) = II, II^*; \\ 4 & \text{if } p\text{-type}\left(E\right) = III, III^*; \\ 3 & \text{if } p\text{-type}\left(E\right) = IV, IV^*. \end{cases}$$

The Galois module E_p of the *p*-torsion points of E gives rise to a continuous and odd representation

$$ho: \operatorname{G}_{\mathbb{Q}}
ightarrow \operatorname{Aut}(E_p) \simeq \operatorname{GL}_2(\mathbb{F}_p),$$

which is almost always absolutely irreducible (cf. [Ma 78]).

S. M. F. Astérisque 209** (1992) Serre's conjecture $(3.2.4_?)$ in [Se 87] predicts, in this case, the existence of a Hecke cusp form (mod p)

$$f(q)=\sum a_n q^n$$

of type $(N_{
ho}, k_{
ho}, \varepsilon_{
ho})$ satisfying

 $a_n \equiv A_n \pmod{p}$, for all *n* prime to N_E .

The level N_{ρ} , the weight k_{ρ} and the character ε_{ρ} are given by a precise recipe in [Se 87] and, depending on the Néron model of E, they have been computed, for instance in [Ba-La 91].

In [Ba-La 91], we verify (3.2.4?) for the Galois representations defined by the *p*-torsion points of the *p*-vertical Weil curves. In this paper our purpose is to emphasize the difference between the *p*-vertical and the *p*-horizontal cases in order to check Serre's conjecture. Several numerical examples, collected by computer calculations, lead us to give a conjecture which implies (3.2.4?) for the horizontal case.

2. Lowering the level (ordinary case). – First, we shall consider a general situation. Let

$$F(z) = \sum_{n=1}^{\infty} A_n e^{2\pi i n z}$$

be a newform of type (N, k, ε) , defined over $\overline{\mathbb{Q}}$. If $\alpha : (\mathbb{Z}/M\mathbb{Z})^* \to \mathbb{C}^*$ is a Dirichlet character modulo M, then the twisted form

$$F\otimes \alpha(z) = \sum_{n=1}^{\infty} A_n \alpha(n) e^{2\pi i n z}$$
 $(\alpha(n) = 0 \text{ if g.c.d.} (n, M) \neq 1)$

is a Hecke cusp form of type $(N', k, \varepsilon \alpha^2)$, where

$$N' = \text{l.c.m.} (N, M \cdot \text{conductor} (\varepsilon), M^2).$$

If M is prime to the level N, then $F \otimes \alpha$ is a newform of type $(NM^2, k, \varepsilon \alpha^2)$; otherwise, the form $F \otimes \alpha$ can either be or not be a newform ! The question is to decide when it is.

After Li's work [Li 75], one has a nice criterion to decide whether a Hecke cusp form is new or not. More precisely, consider the operators K and H_N defined by

$$G|H_N=G|egin{pmatrix} 0&-1\N&0 \end{pmatrix} ext{ and } G|K(z)=\overline{G(-\overline{z})}\,,$$

for each cusp form $G(z) = \sum_{n=1}^{\infty} B_n e^{2\pi i n z}$ of type (N, k, ε) . We have

PROPOSITION. (cf. [Li75]). Let $G \in S_k(N, \varepsilon)$ be a Hecke cusp form. Then G is a newform of type (N, k, ε) if and only if the functional equation $G|K|H_N = \gamma G$ holds for a certain complex constant γ of absolute value 1.

Let us go back to the case of elliptic curves. Let F be the newform attached to the Weil curve E as above and let $N_E = Np^2$ be the conductor of E. Choose an embedding $\overline{\mathbb{Q}} \subset \overline{\mathbb{Q}}_p$ and let $\psi : (\mathbb{Z}/p\mathbb{Z})^* \to \overline{\mathbb{Q}}$ be the Dirichlet character which satisfies

$$\psi(n) n \equiv 1 \pmod{\mathfrak{P}},$$

where \mathfrak{P} is the place of $\overline{\mathbb{Q}}$ dividing p fixed by our embedding.

We ask for which values of $j \in \{0, ..., p-2\}$ the twisted form $F \otimes \psi^j$ fails to be new. Prof. D. B. Zagier suggested to us to apply the following test which is an immediate consequence of Li's result.

COROLLARY. Keep the above notations. If there exist a complex number $z \in \mathbb{H}$ such that

$$\left|\frac{\sum_{n=1}^{\infty}\overline{A}_{n}\overline{\psi}^{j}(n) e^{-2\pi i n/N_{E}z}}{N_{E} z^{2} \sum_{n=1}^{\infty}A_{n}\psi^{j}(n) e^{2\pi i nz}}\right| - 1 \neq 0,$$

then $F \otimes \psi^j$ is not new.

On a VAX 8600 at the Facultat d'Informàtica de Barcelona we have obtained the following numerical examples, by taking $z = 2i/\sqrt{N_E} \in \mathbb{H}$ and a few number (around 500) of Fourier coefficients for $F \otimes \psi^j$.

For the elliptic curve 338 A1 (cf. [Cre 91]),

$$E : y^2 + xy = x^3 - x^2 + x + 1$$

of conductor $N_E = 2 \cdot 13^2$, we get the following data:

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TEST
0.000000000
2.6699959395
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0.0000000000
0.000000000
Ŏ:ŎŎŎŎŎŎŎŎŎŎ
0.0000000000
2.6699959395
0.000000000

Observe that the Hecke cusp forms $F \otimes \psi^2$ and $F \otimes \psi^{10}$ don't seem to be newforms. Indeed, as we shall see later, they are not newforms.

Another example is provided by the elliptic curve

$$E : y^2 + xy + y = x^3 - 39x - 27$$

of conductor $N_E = 43^2$ (cf. [Ed-Gr-To 90]). In this case we get:

and zero for all the others values of j. Now, the Hecke cusp forms $F \otimes \psi^{14}$ and $F \otimes \psi^{28}$ are not newforms.

In the previous examples E is vertical at p = 13, 43, respectively; indeed, in both cases we have $p \equiv 1 \pmod{3}$ and, following Tate's algorithm [Ta75], we find that p-type (E) is equal to II and IV, respectively.

Actually, we are able to say what happens in the general *p*-vertical case. If ℓ denotes a prime which does not divide the conductor of E, then one can prove that the restriction to an inertia group I_p at p of the ℓ -adic representation ρ_{ℓ} attached to $F \otimes \psi^{\frac{p-1}{\epsilon}}$ is given by

$$\rho_{\ell}(I_p) = \begin{pmatrix} 1 & 0 \\ 0 & * \end{pmatrix}.$$

Therefore, we obtain

PROPOSITION. (cf. [Ba-La 91]). If E is a p-vertical Weil curve as above, there exists a newform

$$G(z) = \sum B_n e^{2\pi i n z} \in S_2(Np, \psi^{2\frac{p-1}{e}}),$$

having the same eigenvalue system as the twisted form $F\otimes\psi^{\frac{p-1}{e}}$.

Similar arguments do not work when E is *p*-horizontal. Consider the following example: the elliptic curve 605 A1, in [Cre 91],

$$E : y^2 + xy = x^3 - x^2 - 1414x - 44027$$

of conductor $N_E = 5 \cdot 11^2$ has 11-type IV^* . Since $11 \equiv 2 \pmod{3}$, E is 11-horizontal. Running our program we get: