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The boundary values of generalized Dirichlet series and a problem of Chebyshev

J. KACZOROWSKI*

1. Introduction and statement of results

In 1853 Chebyshev asserted in a letter to M. Fuss that there are more primes $p \equiv 3 \pmod{4}$ than $p \equiv 1 \pmod{4}$. S. Knapowski and P. Turán in their well-known series of papers on comparative prime number theory [5] write, after quoting Littlewood's result that $\pi(x, 4, 1) - \pi(x, 4, 3)$ changes sign infinitely many times as $x \rightarrow \infty$, the following lines: *one feels that Chebyshev's vague formulation could also be interpreted so as*

$$(1.1) \quad \lim_{Y \rightarrow \infty} N(Y)/Y = 0,$$

where $N(Y)$ denotes the number of integers $m \leq Y$ with the property

$$(1.2) \quad \pi(m, 4, 1) \geq \pi(m, 4, 3)$$

(cf. also [6], page 26). They support this conjecture by referring to Shanks [7], who found that (1.2) is not fulfilled for $m \leq 26860$, is then fulfilled for $m = 26861$ and $m = 26862$, and is again false for $26863 \leq m \leq 616768$. They also ask the following general question ([5], Problem 7).

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For fixed positive integers a, b and q such that $(a, q) = (b, q) = 1$, $a \not\equiv b \pmod{q}$, what is the asymptotical behaviour of $N_{a,b}(Y)$ for $Y \rightarrow \infty$, where $N_{a,b}(Y)$ denotes the number of integers $m \leq Y$ with

$$\pi(m, q, a) \geq \pi(m, q, b) \quad ?$$

Our aim is to prove a general result concerning boundary values of Dirichlet series and to show its relevance to Chebyshev's problem. As a corollary we obtain the following theorem.

THEOREM 1. Suppose a and q are positive integers satisfying $(a, q) = 1$, $a \not\equiv 1 \pmod{q}$ and let the Generalized Riemann Hypothesis (G.R.H.) be true for Dirichlet L -series \pmod{q} . Then there exist two constants $0 < c_1 < c_2 < 1$ such that the inequalities

$$c_1 Y \leq N_{a,1}(Y) \leq c_2 Y$$

hold for all sufficiently large Y .

This shows that the Knapowski-Turán conjecture (1.1) is false at least when we accept the G.R.H.

The basic tool used in the proof of Theorem 1 is a result concerning generalized Dirichlet series which seems to be of an independent interest. For the sake of brevity, let \mathcal{A} denote the set of all functions

$$(1.3) \quad F(z) = \sum_{n=1}^{\infty} a_n e^{i w_n z}, \quad z = x + iy, \quad y > 0$$

satisfying the following conditions:

1. $0 \leq w_1 < w_2 < \dots$ are real numbers.
2. $a_n \in \mathbb{C}$, $n = 1, 2, 3, \dots$
3. There exists a non-negative integer B such that

$$(1.4) \quad \sum_{n=2}^{\infty} |a_n| w_n^{-B} < \infty.$$

4. There exists a non-negative number L_0 such that for every x , $|x| \geq L_0$, the limit

$$P(x) = \lim_{y \rightarrow 0+} \operatorname{Re} F(x + iy)$$

exists and represents a locally bounded function of $x \in \mathbb{R} \setminus [-L_0, L_0]$.

Moreover, let

$$\alpha(F) = \inf_{\substack{y>0 \\ x \in \mathbb{R}}} \operatorname{Re} F(x + iy), \quad \beta(F) = \sup_{\substack{y>0 \\ x \in \mathbb{R}}} \operatorname{Re} F(x + iy).$$

It was proved in [4] that if $F \in \mathcal{A}$ and $\alpha(F) < u < \beta(F)$ then there exists a positive number $l = l(u, F)$ such that

$$(1.5) \quad \inf_{x \in I} P(x) < u < \sup_{x \in I} P(x)$$

for every interval $I \subset \mathbb{R} \setminus [-L_0, L_0]$ of length $\geq l$.

This result is of importance to the prime number theory being a substitute for Ingham's method [1], [2]. Now we impose somewhat stronger conditions on F and we estimate the measure of the set of x satisfying (1.5).

THEOREM 2. *Let $F \in \mathcal{A}$ and suppose that*

$$(1.6) \quad \|P\|^2 = \sup_{|t| > L_0 + 1} \int_0^1 |P(x + t)|^2 dx < \infty.$$

Then for every real number u satisfying $\alpha(F) < u < \beta(F)$ there exist positive constants $l = l(u, F)$ and $d_1 = d_1(u, F)$ such that

$$(1.7) \quad |\{x \in I : P(x) > u\}| \geq d_1$$

and

$$(1.8) \quad |\{x \in I : P(x) < u\}| \geq d_1$$

for every interval $I \subset \mathbb{R} \setminus [-L_0, L_0]$ of length $\geq l$ (where $|A|$ denotes the Lebesgue measure of a set $A \subset \mathbb{R}$).

We apply this theorem to the function

$$(1.9) \quad \begin{aligned} F_{a,b}(z) = & -2e^{-z/2} \frac{1}{\phi(q)} \sum_{x \pmod{q}} (\overline{\chi(a)} - \overline{\chi(b)}) K(z, \chi') \\ & - \frac{2}{\phi(q)} \sum_{x \pmod{q}} (\overline{\chi(a)} - \overline{\chi(b)}) m(\tfrac{1}{2}, \chi), \end{aligned}$$

where $q \geq 2$, $0 < a, b < q$, $(a, q) = (b, q) = 1$, $a \not\equiv b \pmod{q}$ are integers, K denotes the K -function as introduced in [3]:

$$K(z, \chi') = \sum_{\gamma > 0} e^{\rho z}, \quad z = x + iy, \quad y > 0$$

(the summation being taken over all non-trivial $L(s, \chi')$ zeros ρ with positive imaginary parts γ); χ' is the primitive Dirichlet character induced by χ , and $m(\frac{1}{2}, \chi)$ is the multiplicity of a zero of $L(s, \chi)$ at $s = \frac{1}{2}$ (we put $m(\frac{1}{2}, \chi) = 0$ when $L(s, \chi) \neq 0$). We obtain the following corollaries.

COROLLARY 1. *Suppose the G.R.H. is true for Dirichlet L -functions (mod q). Then for every real number u satisfying $\alpha(F_{a,b}) < u < \beta(F_{a,b})$ there exist positive constants $c_0 = c_0(u, q)$ and $d_0 = d_0(u, q)$ such that*

$$(1.10) \quad \left| \left\{ T \leq t \leq c_0 T : \psi(t, q, a) - \psi(t, q, b) > u\sqrt{t} \right\} \right| \geq d_0 T$$

and

$$(1.11) \quad \left| \left\{ T \leq t \leq c_0 T : \psi(t, q, a) - \psi(t, q, b) < u\sqrt{t} \right\} \right| \geq d_0 T$$

for sufficiently large T .

COROLLARY 2. *Suppose the G.R.H. is true for Dirichlet L -functions (mod q) and let $(a, q) = 1$, $a \not\equiv 1 \pmod{q}$. Then for every positive u there exist $c_1 = c_1(u, q) > 0$ and $d_1 = d_1(u, q) > 0$ such that*

$$(1.12) \quad \# \{ Y \leq m \leq c_1 Y : \psi(m, q, a) - \psi(m, q, 1) > u\sqrt{m} \} \geq d_1 Y,$$

$$(1.13) \quad \# \{ Y \leq m \leq c_1 Y : \psi(m, q, a) - \psi(m, q, 1) < -u\sqrt{m} \} \geq d_1 Y,$$

$$(1.14) \quad \# \{ Y \leq m \leq c_1 Y : \pi(m, q, a) - \pi(m, q, 1) > u\sqrt{m}/(\log m) \} \geq d_1 Y,$$

and

$$(1.15) \quad \# \{ Y \leq m \leq c_1 Y : \pi(m, q, a) - \pi(m, q, 1) < -u\sqrt{m}/(\log m) \} \geq d_1 Y,$$

for all sufficiently large Y .

Let us remark that our Theorem 1 follows at once from Corollary 2; it is sufficient therefore to prove this corollary only.

Applying Theorem 2 to the function

$$\begin{aligned} F_a(z) = & -2e^{-z/2} \frac{1}{\phi(q)} \sum_{\chi \pmod{q}} \overline{\chi(a)} K(z, \chi') \\ & - \frac{2}{\phi(q)} \sum_{\chi \pmod{q}} \overline{\chi(a)} m(\tfrac{1}{2}, \chi), \\ & (z = x + iy, \quad y > 0, \quad (a, q) = 1) \end{aligned}$$